



# XQuery 1.0: An XML Query Language

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## Abstract

XML is an extremely versatile markup language, capable of labeling the information content of diverse data sources including structured and semi-structured documents, relational databases, and object repositories. A query language that uses the structure of XML intelligently can express queries across all these kinds of data, whether physically stored in XML or viewed as XML via middleware. Because query languages have traditionally been designed for specific kinds of data, most existing proposals for XML query languages are robust for particular types of data sources but weak for other types. This specification describes a new query language called XQuery, which is designed to be broadly applicable across all types of XML data sources.

## Status of this document

This document is a W3C Working Draft of XQuery, for review by W3C members and other interested parties. It is a draft document and may be updated, replaced, or made obsolete by other documents at any time. It is inappropriate to use W3C Working Drafts as reference material. Citations to W3C Working Drafts should clearly label them as "work in progress".

Comments on this document should be sent to the W3C mailing list [www-xml-query-comments@w3.org](mailto:www-xml-query-comments@w3.org) (archived at <http://lists.w3.org/Archives/Public/www-xml-query-comments/>).

This document was produced by the W3C XML Query Working Group in collaboration with the W3C

XSL Working Group. These Working Groups are part of the W3C XML Activity. A list of current W3C Recommendations and other technical documents can be found at <http://www.w3.org/TR/>.

## Table of contents

- 1 [Introduction](#)
- 2 [The XQuery Language](#)
  - 2.1 [Path Expressions](#)
  - 2.2 [Element Constructors](#)
  - 2.3 [FLWR Expressions](#)
  - 2.4 [Sorting](#)
  - 2.5 [Operators in Expressions](#)
    - 2.5.1 [Arithmetic operators](#)
    - 2.5.2 [Comparison operators](#)
    - 2.5.3 [Logical operators](#)
    - 2.5.4 [Sequence-related Operators](#)
  - 2.6 [Conditional Expressions](#)
  - 2.7 [Quantified Expressions](#)
  - 2.8 [Datatypes](#)
  - 2.9 [Functions](#)
  - 2.10 [User-Defined Datatypes](#)
  - 2.11 [Operations on Datatypes](#)
  - 2.12 [Structure of a Query Module](#)
- 3 [Example Applications](#)
  - 3.1 [Filtering](#)
  - 3.2 [Joins](#)
  - 3.3 [Grouping](#)
- 4 [Conclusion](#)

## Appendices

- A [References](#)
  - B [XQuery Grammar](#)
  - C [XQuery Issues](#) (Non-Normative)
    - C.1 [Issue List](#)
    - C.2 [XQuery Issues](#)
  - D [Revision Log](#) (Non-Normative)
- 

## 1 Introduction

As increasing amounts of information are stored, exchanged, and presented using XML, the ability to intelligently query XML data sources becomes increasingly important. One of the great strengths of XML is its flexibility in representing many different kinds of information from diverse sources. To exploit this flexibility, an XML query language must provide features for retrieving and interpreting information from these diverse sources.

XQuery is designed to meet the requirements identified by the W3C XML Query Working Group [[XML Query 1.0 Requirements](#)]. It is designed to be a small, easily implementable language in which queries are concise and easily understood. It is also flexible enough to query a broad spectrum of XML information sources, including both databases and documents. The Query Working Group has identified a requirement for both a human-readable query syntax and an XML-based query syntax. XQuery is designed to meet the first of these requirements. For an alternative, XML-based syntax for the XQuery semantics, see [[XQueryX 1.0](#)].

XQuery is derived from an XML query language called Quilt [\[Quilt\]](#), which in turn borrowed features from several other languages. From XPath [\[XPath 1.0\]](#) and XQL [\[XQL\]](#) it took a path expression syntax suitable for hierarchical documents. From XML-QL [\[XML-QL\]](#) it took the notion of binding variables and then using the bound variables to create new structures. From SQL [\[SQL\]](#) it took the idea of a series of clauses based on keywords that provide a pattern for restructuring data (the SELECT-FROM-WHERE pattern in SQL). From OQL [\[ODMG\]](#) it took the notion of a functional language composed of several different kinds of expressions that can be nested with full generality. Quilt was also influenced by other XML query languages such as Lorel [\[Lorel\]](#) and YATL [\[YATL\]](#).

Important issues remain open in the design of XQuery. Some of these issues deal with relationships between XQuery and other XML activities, for example:

- ⚡ The semantics of XQuery are defined in the *XML Query Formal Semantics* [\[XQuery 1.0 Formal Semantics\]](#). This document defines the semantics of a subset of XQuery called the XQuery Core, and defines the mapping of the full XQuery language into this Core subset. This mapping is still preliminary, and may result in some changes to XQuery and/or the Core.
- ⚡ XQuery has a type system that is based on XML Schema. Work is in progress to ensure that the type systems of XQuery, the XQuery Core, and XML Schema are completely aligned.
- ⚡ The details of the operators supported on simple XML Schema datatypes will be defined by a joint XSLT/Schema/Query task force and will be defined in a separate *Functions and Operators* document (to appear).
- ⚡ XQuery relies on path expressions for navigating in hierarchic documents. XQuery expects these path expressions to conform to the semantics of XPath 2.0, as defined by a joint XSLT/Query task force. The exact split between what is in XPath 2.0 and what is only in XQuery remains to be determined. Issues involving syntax that is shared between XQuery and XPath 2.0 must be resolved jointly by the Query and XSL Working Groups.

For more details on open issues, see Appendix C.

## 2 The XQuery Language

Like OQL, XQuery is a functional language in which a query is represented as an expression. XQuery supports several kinds of expressions, and the structure and appearance of a query may differ significantly depending on which kinds of expressions are used. The various forms of XQuery expressions can be nested with full generality.

The input and output of a query are instances of a data model which is used by both XQuery 1.0 and XPath 2.0 [\[XQuery 1.0 and XPath 2.0 Data Model\]](#). This data model is a refinement of the data model described in the XPath Version 1 specification [\[XPath 1.0\]](#), in which a document is modeled as a tree of nodes. The data model is capable of modeling not only an XML document but also a well-formed fragment of a document, a sequence of documents, or a sequence of document fragments. An instance of the data model is an ordered sequence of nodes, each of which may contain nested sequences of nodes. There is no distinction between a single node and a node sequence of length one. Although sequences are always ordered, an `unordered` function is provided which randomly reorders a sequence; this function effectively permits a query optimizer to generate the sequence in whatever order it finds most efficient.

The principal forms of XQuery expressions are as follows:

1. Path expressions

2. Element constructors
3. FLWR expressions
4. Expressions involving operators and functions
5. Conditional expressions
6. Quantified expressions
7. Expressions that test or modify datatypes

The following sections introduce and explain each of the expression types listed above. Each section contains a specification of the relevant part of the XQuery syntax, and the syntax is repeated in its entirety in Appendix B. In general, when the syntax for an expression calls for a nested expression, any of the XQuery expression types may be used. The grammar in this document is designed to be readable and as a result it is rather permissive. An implementation of XQuery will need to augment the syntax given here with some semantic rules. For example, the syntax permits any expression to be used in an IF-clause, but semantically an IF-expression is valid only if it returns a Boolean result.

The semantics of the various types of XQuery expressions are described informally in this document and more formally in [\[XQuery 1.0 Formal Semantics\]](#). However, XQuery is a declarative language, and its semantic specification is not intended to prescribe an implementation strategy. It is expected that implementations will use a variety of optimization algorithms to achieve the specified results.

This document introduces all the operators of XQuery, specifies the syntax of a function call, and gives several examples of built-in functions. An enumeration of all the functions supported by XQuery, and a detailed description of how the operators apply to the various primitive datatypes, are provided in a separate document called *XQuery Functions and Operators* (to appear).

In XQuery, keywords (such as FOR and LET) are case-insensitive, whereas identifiers (such as myBigBook) are case-sensitive. In this document, keywords are capitalized to make them easy to distinguish from identifiers. Keywords in XQuery are not reserved (the grammar has been designed in such a way that keywords may be used as names, and their role is resolved by context.)

A query may contain a comment, which is ignored during query processing. The beginning delimiter of a comment is a pound symbol ("#") and the ending delimiter is a newline character, as illustrated in the following example:

```
# This is a comment.
```

## 2.1 Path Expressions

One of the forms of an XQuery expression is a path expression, based on the syntax of XPath [\[XPath 1.0\]](#). XPath is a notation for navigating along "paths" in an XML document, and is used in several XML-related applications including XSLT [\[XSLT\]](#) and XPointer [\[XPointer\]](#).

The XML Query and XSL Working Groups are cooperatively working on both the syntax and the semantics for an extended version of XPath, to be known as XPath Version 2.0, based on the [\[XPath 2.0 Requirements\]](#). One of these requirements states that "XPath 2.0 should maintain backward compatibility with XPath 1.0." XQuery is expected to be a superset of XPath 2.0.

This document relies on [XPath 1.0](#) as a specification of XPath 1.0, and focuses mainly on the extensions introduced by XPath 2.0 and XQuery.

### Syntax

PathExpr	::=	RelativePathExpr   ("/" RelativePathExpr?)   ("//" RelativePathExpr?)
RelativePathExpr	::=	StepExpr ( "/"   "//" ) StepExpr*
StepExpr	::=	AxisStepExpr   OtherStepExpr
AxisStepExpr	::=	Axis NodeTest StepQualifiers
OtherStepExpr	::=	PrimaryExpr StepQualifiers
StepQualifiers	::=	( "[" Expr "]"   "=" NameTest )*
Axis	::=	( NCName ":" )   "@"
PrimaryExpr	::=	"."   ".."   NodeTest   Variable   Literal   FunctionCall   ParenthesizedExpr   CastExpr   ElementConstructor
Literal	::=	NumericLiteral   StringLiteral
NodeTest	::=	NameTest   KindTest
NameTest	::=	QName   Wildcard
KindTest	::=	PITest   CommentTest   TextTest   AnyKindTest
PITest	::=	"processing-instruction" "(" StringLiteral? ")"
CommentTest	::=	"comment" "(" ")"
TextTest	::=	"text" "(" ")"
AnyKindTest	::=	"node" "(" ")"

A path expression can begin with an expression that identifies a specific node or sequence of nodes in a document. For example, the function `document(string)` returns the root node of a named document. A path expression can also begin with "/" or "//" which represents an implicit root node, determined by the environment in which the path expression is executed. The execution environment of a path expression also defines a "context node," which can be referenced by dot (".") inside the path expression.

A path expression consists of a series of "steps". Each step represents movement through a document along a specified "axis", and each step can apply one or more predicates to eliminate nodes that fail to satisfy a given condition. The result of each step is a sequence of nodes that serves as a starting point for the next step. The default axis is the "child" axis, and unless otherwise specified, the first step in a path returns nodes from among the immediate children of the context node.

**Ed. Note:** The XQuery grammar used in this document includes full axis syntax, but the examples use abbreviated syntax. Priority feedback is requested on whether XQuery

should support full axis syntax and on which axes should be supported. See issue [xquery-xpath-axes](#) in Appendix C.

The result of a path expression is a sequence of nodes or primitive values. The nodes in a path expression result are ordered according to their position in the original hierarchy, in document order (as defined in [XPath 1.0](#).) If the result of a path expression includes nodes that are in different documents, the ordering of these nodes is implementation-dependent. The result of a path expression may contain duplicate values (i.e., multiple nodes with the same name, type, and content), but it will not contain duplicate nodes (i.e., multiple occurrences of the same node).

The following example uses a path expression consisting of three steps. The first step locates the root node of a document. The second step locates the second chapter of the document (more formally, it locates <chapter> descendants of the root node that are the second such element within their respective parents.) The third step finds figure elements occurring anywhere within the chapter, but retains only those figure elements that have a caption with the value "Tree Frogs."

*(Q1) In the second chapter of the document named "zoo.xml", find the figure(s) with caption "Tree Frogs".*

```
document("zoo.xml")//chapter[2]//figure[caption = "Tree Frogs"]
```

XPath allows a node to be selected from a sequence of nodes by specifying its ordinal number in the sequence (as in the step `chapter[2]` in Q1.) XQuery allows the selection condition to contain a sequence of integers that specify the ordinal numbers of the nodes to be selected. The sequence can be specified by literal numbers (as in `1, 3, 5, 7`) or by an expression that generates a sequence of consecutive integers (as in `1 TO 8`). The first node in a sequence is considered to have ordinal number 1.

*(Q2) Find all the figures in chapters 2 through 5 of the document named "zoo.xml."*

```
document("zoo.xml")//chapter[2 TO 5]//figure
```

In addition to the usual operators of XPath, XQuery introduces an operator called the dereference operator ("=>"). The operand on the left side of the dereference operator must be a node of type IDREF or IDREFS. The dereference operator returns the element(s) that are referenced by the attribute. A dereference operator is followed by a "name test" that specifies the name of the target element. Target elements not matching the given name are not returned. Following the usual XPath convention, a name test of "\*" allows the target element to have any name.

A dereference operator can be used only with documents that have schemas or DTD's, since the operator needs to find nodes of type ID, IDREF, and IDREFS, which can be done only by reference to a schema or DTD.

Dereference operators can be used in the steps of a path expression. For example, the following query uses a dereference operator to find the caption of the "fig" element referenced by the "refid" attribute of a "figref" element.

*(Q3) Find captions of figures that are referenced by <figref> elements in the chapter of "zoo.xml" with title "Frogs".*

```
document("zoo.xml")//chapter[title = "Frogs"]
  //figref/@refid=>fig/caption
```

The XQuery dereference operator is similar in purpose to the `id` function of XPath. However, the right-

arrow notation is designed to be easier to read, especially in path expressions that involve multiple dereferences. For example, suppose that a given document contains a set of <emp> elements, each of which contains a "mgr" attribute. The "mgr" attribute is of type IDREF, and it references another <emp> element that represents the manager of the given employee. The name of each employee is represented by a <name> element nested inside the <emp> element.

*(Q4) List the names of the second-level managers of all employees whose rating is "Poor".*

```
//emp[rating = "Poor"]/@mgr=>emp/@mgr=>emp/name
```

In XPath, each step selects a set of nodes relative to a context node. Each node in that set is then used in turn as a context node for the following step. The sets of nodes selected in this way are combined, as though by the UNION operator (defined in Section 2.5.4), to obtain the result of the following step. XQuery extends XPath 1.0 by allowing each step in a path expression to contain any XQuery expression, enclosed in parentheses as needed to avoid ambiguity. As usual, the nodes selected by one step are used as context nodes for the expression in the following step. The following example shows how an expression containing a UNION (denoted in this query by the "|" operator) can be used in one step of a path expression.

*(Q5) Find all captions of figures and tables in the chapter of "zoo.xml" with title "Monkeys".*

```
document("zoo.xml")//chapter[title = "Monkeys"]
  //(figure | table)/caption
```

**Ed. Note:** This query uses a union within a step. In our current grammar, this requires the use of full expression syntax within the steps of a path, which been recommended by the joint Query/XSLT Task Force but has not yet been approved by the respective Working Groups. See issue [xquery-full-expression-syntax](#) in Appendix C.

Path expressions often contain operators, such as arithmetic operators, that are defined over simple datatypes. When such an operator is used with an operand that is a node, the built-in `data` function is implicitly invoked to extract the content of the node as a typed value. If `data` is invoked with no argument, its implicit argument is the current (context) node. For example, if the content of the current node is the integer 47, then `data()` has type integer and value 47. If the content of its argument node cannot be expressed as a value of a simple type, the `data` function raises an error.

When an operator defined over simple datatypes is used with an operand that is a sequence of nodes, the `data` function is invoked repeatedly to extract the contents of the nodes, resulting in a sequence of simple values. The semantics of various operators, when applied to sequences of simple values, are described in Section 2.5, and in more detail in *XQuery Functions and Operators* (to appear).

The following example illustrates implicit and explicit invocations of the `data` function. If the query selects a single employee who has a single salary, the result of the query will be an integer. If the query selects multiple employees, or a single employee with multiple salaries, the result of the query will be a sequence of integers.

*(Q6) From a document that contains employees and their monthly salaries, extract the annual salary of the employee named "Fred".*

```
//emp[name="Fred"]/salary * 12
```

*(Q6, alternate form) (Equivalent to Q6, with explicit invocation of data.)*

```
//emp[name="Fred"]/salary/data() * 12
```

Note the difference between the result-type of the expression `//emp/salary`, which returns zero or more elements, and the expression `//emp/salary*12`, which returns zero or more integers.

## 2.2 Element Constructors

In addition to searching for elements in existing documents, a query often needs to generate new elements. The simplest way to generate a new element is to embed the element directly in a query using XML notation. In other words, one of the permitted forms of an XQuery expression is an XML element that represents itself. This type of an XQuery expression is called an *element constructor*. By adopting XML notation for element constructors, XQuery allows literal XML fragments to be "pasted" into queries (with some limitations--for example, the handling of entity references is still under discussion.)

In most parts of the XQuery language, white space is not significant. However, according to the definition of XML, white space is significant under certain circumstances inside an XML tag. Therefore, in the following fragment of the XQuery grammar, white space is significant and is represented by the nonterminal symbol "S".

### Syntax

```

ElementConstructor ::= "<" NameSpec AttributeList ("/>" |
                        (">" ElementContent* "</" (QName S?)? ">") )
NameSpec           ::= QName | ( "{" Expr "}" )
AttributeList      ::= (S (NameSpec S? "=" S? (AttributeValue
                        | EnclosedExpr) AttributeList)? )?
AttributeValue     ::= ( [" ] AttributeValueContent* [" ] )
                    | ( [" ] AttributeValueContent* [" ] )
ElementContent     ::= Char
                    | ElementConstructor
                    | EnclosedExpr
                    | CdataSection
                    | CharRef
                    | PredefinedEntityRef
AttributeValueContent ::= Char
                    | CharRef
                    | EnclosedExpr
                    | PredefinedEntityRef
CdataSection       ::= "<![CDATA[" Char* "]">"
EnclosedExpr       ::= "{" ExprSequence "}"

```

The simplest example of an element constructor in XQuery uses pure XML notation, as in Q7:

*(Q7) Generate an <emp> element that has an "empid" attribute and nested <name> and <job> elements.*

```

<emp empid = "12345">
  <name>John Smith</name>
  <job>Anthropologist</job>
</emp>

```



Often the content of an element or the value of an attribute needs to be computed by some expression. An XQuery expression that is used inside an element constructor is enclosed in curly braces to indicate that the expression is to be evaluated rather than treated as text. In the following example, attribute values and element contents are specified in the form of variables named \$id, \$name, and \$job. From the context of the query, we might expect \$id to be bound to a string, and \$name and \$job to be bound to nodes or node sequences (though they could also be bound to strings). When a variable used in an element constructor is bound to a node, the newly constructed element receives a copy of that node and all its descendants.

*(Q8) Generate an <emp> element that has an "empid" attribute. The value of the attribute and the content of the element are specified by variables that are bound in other parts of the query.*

```
<emp empid = {$id}>
  {$name}
  {$job}
</emp>
```

In the above example, curly braces ("{" and "}") are used in places where they would ordinarily be considered to be part of a character string. Since XQuery uses curly braces as delimiters to identify an expression to be evaluated, some other means is needed to denote a curly brace used as an ordinary character. For this purpose, XQuery adopts the same convention as XSLT: Two adjacent curly braces in an XQuery character string are interpreted as a single curly brace character.

**Ed. Note:** The above example could not be parsed by an off-the-shelf XML parser because of the curly braces around the attribute value. An alternative would be to require the attribute value to be enclosed in quotes, and use curly braces inside the quoted value to denote an expression to be evaluated. See issue [xquery-quote-computed-attribute-value](#) in Appendix C.

Occasionally it is necessary for the name of an element or attribute to be computed by an expression. For this purpose, XQuery allows the name of an element or attribute to be an XQuery expression enclosed in curly braces. When the name in a start-tag is an expression, the name must be omitted from the corresponding end-tag (however, when a start-tag contains a constant name, the same name must be specified in the matching end-tag.)

The following example uses the XPath functions `name(element)`, which returns the tagname of an element, and `number(element)`, which returns the content of an element expressed as a number. When an expression inside the body of an element constructor evaluates to one or more attributes, those attributes are considered to be attributes of the element that is being constructed.

*(Q9) Variable \$e is bound to some element with numeric content. Construct a new element having the same name and attributes as \$e, and with numeric content equal to twice the content of \$e.*

```
<{name($e)}> # replicates the name of $e
  {$e/@*} # replicates the attributes of $e
  {2 * number($e)} # doubles the content of $e
</>
```

**Ed. Note:** `name($e)` may not be the right function to use here, since `name()` returns a string and we need a QName.

Like elements, XML comments and processing instructions can be generated simply by embedding them in a query using the usual XML notation, as in the examples below. It is important to note that XML comment notation generates a comment in the query result, whereas XQuery comment notation

(delimited by "#" and end-of-line) serves as a comment in the query itself but has no effect on the query result.

(Q10) *Generate an XML comment and a processing instruction.*

```
<!-- Houston, we have a problem. -->

<?MyFormatter fontsize=47 ?>
```

**Ed. Note:** Comments and processing instructions have not yet been added to the XQuery grammar. See issue [xquery-comment-pi-productions](#) in Appendix C.

## 2.3 FLWR Expressions

### Syntax

```
FLWRExpr ::= (ForClause | LetClause)+ WhereClause? "return" Expr
ForClause ::= "for" Variable "in" Expr ("," Variable "in" Expr)*
LetClause ::= "let" Variable ":@" Expr ("," Variable ":@" Expr)*
WhereClause ::= "where" Expr
```

A FLWR (pronounced "flower") expression is constructed from FOR, LET, WHERE, and RETURN clauses, which must appear in a specific order. A FLWR expression binds values to one or more variables and then uses these variables to construct a result. The overall flow of data in a FLWR expression is illustrated in Figure 1.

The first part of a FLWR expression consists of FOR-clauses and/or LET-clauses, which serve to bind values to one or more variables. The values to be bound to the variables are represented by expressions (for example, path expressions).

A FOR-clause is used whenever iteration is needed. The FOR-clause introduces one or more variables, associating each variable with an expression. For example, a FOR-clause might contain a path expression that returns a sequence of nodes. The result of the FOR-clause is a sequence of tuples, each of which contains a binding for each of the variables in the FOR-clause. The variables are bound to individual values returned by their respective expressions. Each variable in a FOR-clause can be thought of as iterating over the values returned by its respective expression, in order.

A LET-clause is also used to bind one or more variables to one or more expressions. Unlike a FOR-clause, however, a LET-clause simply binds each variable to the value of its respective expression without iteration, resulting in a single binding for each variable. The difference between a FOR-clause and a LET-clause can be illustrated by a simple example. The clause `FOR $x IN /library/book` results in many bindings, each of which binds the variable `$x` to one book in the library. On the other hand, the clause `LET $x := /library/book` results in a single binding which binds the variable `$x` to a sequence containing all the books in the library.

A FLWR expression may contain several FOR and LET-clauses. Expressions used in FOR and LET-clauses may contain references to variables bound earlier in the FLWR expression. The result of the FOR and LET clauses is an ordered sequence of tuples of bound variables. If all the FOR-clause expressions are independent, the number of tuples generated is the product of the cardinalities of all the FOR-clause expressions. A FLWR expression that contains no FOR-clauses generates exactly one binding-tuple. The order of the tuples generated by the FOR and LET clauses is determined by the order in which values are returned by the FOR-clause expressions. The order in which the variables are bound determines the order of nested iteration of the FLWR expression.

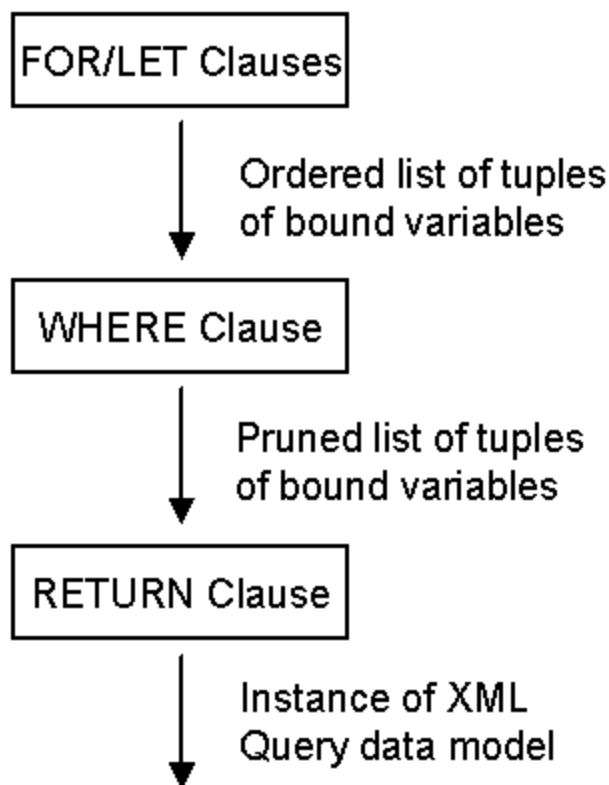


Figure 1: Flow of data in a FLWR expression

The binding-tuples generated by the FOR and LET clauses are subject to further filtering by an optional WHERE-clause. Only those tuples for which the condition in the WHERE-clause is true are used to invoke the RETURN clause. The WHERE-clause may contain several predicates, connected by AND and OR. These predicates usually contain references to the bound variables. Variables bound by a FOR-clause are usually bound to individual nodes and so they are typically used in scalar predicates such as `$p/color = "Red"`. Variables bound by a LET-clause, on the other hand, often represent sequences of nodes, and can be used in set-oriented predicates such as `avg($p/price) > 100`. The ordering of the binding-tuples generated by the FOR and LET clauses is preserved by the WHERE-clause.

The RETURN-clause generates the output of the FLWR expression, which may be any sequence of nodes or primitive values. The RETURN-clause is executed once for each tuple of bindings that is generated by the FOR and LET-clauses and satisfies the condition in the WHERE-clause, preserving the order of these tuples. The RETURN-clause contains an expression that often contains element constructors, references to bound variables, and nested subexpressions. The results generated by the individual executions of the RETURN clause are concatenated together, preserving their order (therefore, unlike a path expression, the result of a FLWR expression may contain duplicate nodes based on node-identity.)

We will consider some examples of FLWR expressions based on a document named "bib.xml" that contains a sequence of <book> elements. Each <book> element, in turn, contains a <title> element, one or more <author> elements, a <publisher> element, a <year> element, and a <price> element. The first example is so simple that it could have been expressed using a path expression, but it is perhaps more readable when expressed as a FLWR expression.

*(Q11) List the titles of books published by Morgan Kaufmann in 1998.*

```
FOR $b IN document("bib.xml")//book
WHERE $b/publisher = "Morgan Kaufmann"
AND $b/year = "1998"
RETURN $b/title
```

The above example returns titles of books in the order in which they appeared in the original document ("bib.xml"). If the ordering of the query result is not important, the `unordered` function can be used to indicate that books can be processed in any order, possibly resulting in a more efficient execution, as in the following example:

*(Q11, alternate form) (Equivalent to Q11, relaxing constraint on order of result)*

```
FOR $b IN unordered(document("bib.xml")//book)
WHERE $b/publisher = "Morgan Kaufmann"
AND $b/year = "1998"
RETURN $b/title
```

The next example uses a `distinct` function in the FOR-clause to eliminate duplicate values from the set of publishers found in the input document. Two elements are considered to have duplicate values if their names, attributes, and normalized content are equal. From each set of nodes with duplicate values, the `distinct` function retains one node (the node that is retained is implementation-defined.) The `distinct` function also includes the semantics of the `unordered` function (that is, the ordering of the sequence returned by a `distinct` function is not significant or deterministic.) The example uses a LET-clause to bind a variable to the average price of books published by each of the publishers bound in the FOR-clause.

*(Q12) List each publisher and the average price of its books.*

```
FOR $p IN distinct(document("bib.xml")//publisher)
LET $a := avg(document("bib.xml")//book[publisher = $p]/price)
RETURN
  <publisher>
    <name> {$p/text()} </name>
    <avgprice> {$a} </avgprice>
  </publisher>
```

The next example uses a LET-clause to bind a variable `$b` to a set of books, and then uses a WHERE-clause to apply a condition to the set, retaining only bindings in which `$b` contains more than 100 elements. This query also illustrates the common practice of enclosing a FLWR expression inside an element constructor, which provides an enclosing element for the query result.

*(Q13) List the publishers who have published more than 100 books.*

```
<big_publishers>
  {
    FOR $p IN distinct(document("bib.xml")//publisher)
    LET $b := document("bib.xml")//book[publisher = $p]
    WHERE count($b) > 100
    RETURN $p
  }
</big_publishers>
```

FLWR expressions are often useful for performing structural transformations on documents, as

illustrated by the next query, which inverts a hierarchy. This example also illustrates how one FLWR expression can be nested inside another.

*(Q14) Invert the structure of the input document so that, instead of each book element containing a sequence of authors, each distinct author element contains a sequence of book-titles.*

```
<author_list>
  {
    FOR $a IN distinct(document("bib.xml")//author)
    RETURN
      <author>
        <name> {$a/text()} </name>
        {
          FOR $b IN document("bib.xml")//book[author = $a]
          RETURN $b/title
        }
      </author>
    }
</author_list>
```

LET-clauses are useful for breaking up long expressions, making queries more readable. They can also be helpful in simplifying a query that makes multiple uses of the same subexpression. In the following example, the average price of books is a common subexpression that is bound to variable \$a and then used repeatedly in the body of the query.

*(Q15) For each book whose price is greater than the average price, return the title of the book and the amount by which the book's price exceeds the average price.*

```
<result>
  {
    LET $a := avg(document("bib.xml")//book/price)
    FOR $b IN document("bib.xml")//book
    WHERE $b/price > $a
    RETURN
      <expensive_book>
        {$b/title}
        <price_difference>
          {$b/price - $a}
        </price_difference>
      </expensive_book>
    }
</result>
```

Computed element names and attribute names can sometimes be used with FLWR expressions to perform a transformation on the structure of a document. In the following example, variable \$e is bound to an element that has some attributes and some subelements. The content of each subelement is simple text. The example uses the `attribute` function, which constructs an attribute from two strings that contain the name and value of the attribute.

*(Q16) Construct a new element having the same name as the element bound to \$e. Transform all the attributes of \$e into subelements, and all the subelements of \$e into attributes.*

```
<{name($e)}>
  {
    FOR $c IN $e/*
    RETURN attribute(name($c), string($c))
  }
```

```

    {
      FOR $a IN $e/@*
      RETURN
        <{name($a)}>
          {string($a)}
        </>
    }
  </>

```

## 2.4 Sorting

### Syntax

```

SortExpr      ::= Expr "sortBy" "(" SortSpecList ")"
SortSpecList ::= Expr ("ascending" | "descending")? ("," SortSpecList)?

```

It is sometimes necessary to control the order of elements in a sequence. The sequence to be ordered might be a represented by a whole query or by a subexpression within a query. A sequence can be ordered by means of a SORTBY clause that contains one or more "ordering expressions." Each ordering expression is evaluated for each member of the sequence (that is, the ordering expression is evaluated with each member of the sequence as context node). For each member of the sequence, the ordering expression must return a single value of some type for which the ">" operator is defined (for example, a number or a string); otherwise an error results. The members of the sequence are ordered according to the values of their respective ordering expressions. If more than one ordering expression is specified, the leftmost ordering expression controls the primary sort, followed by the remaining ordering expressions from left to right. Each ordering expression can be followed by the word ASCENDING or DESCENDING, which specifies the direction of the sort (ASCENDING is the default). The following query uses a SORTBY clause with two ordering expressions:

*(Q17) List all books with price greater than \$100, in order by first author; within each group of books with the same first author, list the books in order by title.*

```
document("bib.xml")//book[price > 100] SORTBY (author[1], title)
```

If a query result contains several levels of nested elements, an ordering may be specified among the elements at each level. This is often accomplished by nested FLWR expressions and sorting expressions. It is important to remember that SORTBY is not a part of a FLWR expression, but is a separate form of expression that can be used in many different contexts.

*(Q18) Make an alphabetic list of publishers. Within each publisher, make a list of books, each containing a title and a price, in descending order by price.*

```

<publisher_list>
  {FOR $p IN distinct(document("bib.xml")//publisher)
  RETURN
    <publisher>
      <name> {$p/text()} </name>
      {FOR $b IN document("bib.xml")//book[publisher = $p]
      RETURN
        <book>
          {$b/title}
          {$b/price}
        </book>
      SORTBY(price DESCENDING)

```

```

    }
  </publisher>
  SORTBY(name)
}
</publisher_list>

```

## 2.5 Operators in Expressions

Like most query languages, XQuery provides a variety of operators that can be used in expressions, and allows parenthesized expressions to serve as operands. The following sections summarize the categories of operators that are provided by XQuery. For details of operator precedence, see Appendix B. For details of the semantics of operators and the datatypes to which they apply, see *XQuery Functions and Operators* (to appear).

### 2.5.1 Arithmetic operators

#### *Syntax*

```

AdditiveExpr      ::=  Expr ("+" | "-") Expr
MultiplicativeExpr ::=  Expr ("*" | "div" | "mod") Expr
UnaryExpr         ::=  ("-" | "+") Expr

```

XQuery provides the usual arithmetic operators for addition, subtraction, multiplication, division, and modulus, in the usual binary and unary forms and with the usual meanings.

When both operands of an arithmetic operator are numeric, the result is straightforward. When one or more operands is a node, the content of the node is extracted by an implicit call to the `data` function and converted to a number before the operation is performed; if this conversion is not possible, an error results. When one operand is a number and the other is a sequence of numbers, the result of the operator is a sequence that is created by applying the operator pairwise to the numeric operand and each individual member of the sequence operand, preserving the original order.

**Ed. Note:** The semantics of arithmetic between two sequences is an open issue. See issue [xquery-arithmetic-among-sequences](#) in Appendix C.

### 2.5.2 Comparison operators

#### *Syntax*

```

EqualityExpr      ::=  Expr ("=" | "!=" | "==" | "!==") Expr
RelationalExpr    ::=  Expr ("<" | "<=" | ">" | ">=") Expr

```

XQuery supports several comparison operators, each of which takes two operands and returns a Boolean result. If one operand is a single value and the other is a sequence, the result of the comparison is true if there exists some member of the sequence for which the comparison with the single operand is true. If both operands are sequences, the comparison is true if there exists some member of the first sequence and some member of the second sequence for which the comparison is true.

The `=`, `!=`, `<`, `<=`, `>`, and `>=` operators perform value comparisons. If both operands are simple values of the same type, the result is straightforward. If the operands are simple values of compatible types, the operand of the less-inclusive type is converted to the more-inclusive type for the purpose of comparison (for example, an integer might be converted to a float in order to be compared with a float.)

If one operand is a node and the other is a simple value, the content of the node is extracted by an implicit invocation of the `data` function before the comparison is performed. If both operands are nodes, the string-values of the nodes are compared, as defined in [\[XPath 1.0\]](#).

The `==` and `!=='` operators perform "node identity" comparisons that are defined only for nodes or sequences of nodes. If both operands of `==` are nodes, the comparison is true only if both operands are the same node (not just nodes with the same name and value). If either or both operands is a node sequence, the rules stated above apply. The `!=='` comparison is true whenever the `==` comparison is not true.

**Ed. Note:** There is currently no way to specify a collation sequence to specify the semantics of the inequality operators. See issue [xquery-collation-sequences](#) in Appendix C.

### 2.5.3 Logical operators

#### *Syntax*

```
OrExpr    ::= Expr "or" Expr
AndExpr   ::= Expr "and" Expr
```

The AND and OR operators of XQuery take two Boolean operands and return a Boolean result, using the usual semantics for these operators. Unlike many query languages, XQuery does not support a logical NOT operator. However, it does provide a function `not()` that takes a Boolean value as its argument and returns the logical negation of the argument.

**Ed. Note:** XQuery has not yet defined how it handles missing or absent values. See issue [xquery-three-value-logic](#) in Appendix C.

### 2.5.4 Sequence-related Operators

#### *Syntax*

```
ParenthesizedExpr ::= "(" ExprSequence? ")"
ExprSequence      ::= Expr ("," Expr)*
RangeExpr        ::= Expr "to" Expr
UnionExpr         ::= Expr ("union" | "|") Expr
IntersectExceptExpr ::= Expr ("intersect" | "except") Expr
BeforeAfterExpr  ::= Expr ("before" | "after") Expr
```

As described earlier, the XPath 2.0 Data Model [\[XQuery 1.0 and XPath 2.0 Data Model\]](#) supports ordered sequences of values, and does not distinguish between a single value and a sequence of one value. Values in sequences may be either nodes or primitive values such as numbers or strings. Sequences are always one level deep--that is, a sequence never appears as a member of another sequence. All operators that generate sequences automatically "flatten" their operands. For example, if two sequences are combined to form a new sequence, the new sequence is a one-level sequence derived from the individual members of the original sequences.

The basic XQuery operator for forming sequences is the comma operator (","). The comma operator can be applied to any two expressions to combine them into a sequence. The length of the resulting sequence is the sum of the lengths of the original sequences (scalar values are considered to be sequences of length one.) The new sequence consists of all the members of the left-hand sequence,



followed in order by all the members of the right-hand sequence, with duplicates preserved. A sequence of zero values is represented by empty parentheses.

Since the comma operator is also used to separate the arguments of a function call, parentheses may be needed when a sequence is used as the argument of a function, as illustrated in the following examples:

f(1, 2, 3)	Denotes a function call with three scalar arguments.
f((1, 2), 3)	Denotes a function call with two arguments, the first of which is a sequence of two values.
f((1, 2, 3))	Denotes a function call with one argument that is a sequence of three values.
f(1, ( ))	Denotes a function call with two arguments, the second of which is an empty sequence.

Another way to generate a sequence is by means of the TO operator. TO is a binary operator that converts both of its operands to integers. It then generates a sequence containing all the integers from the left-hand operand to the right-hand operand, inclusive. If either of the operands cannot be converted to an integer, an error results. If the left-hand operand is larger than the right-hand operand, the sequence of integers is generated in descending order. For example, the expression 12 TO 8 is equivalent to the expression 12, 11, 10, 9, 8.

The operators UNION, INTERSECT, and EXCEPT can be used to combine node sequences to form new node sequences. UNION (equivalent to "|") returns a sequence containing those nodes that are members of either the left-hand or the right-hand operand. (The "|" form of this operator is retained for compatibility with XPath 1.0, and the same operator can be invoked by the UNION keyword for consistency with INTERSECT and EXCEPT.) INTERSECT returns a sequence containing those nodes that are members of both the left-hand and right-hand operands. EXCEPT returns a sequence containing those nodes that are members of the left-hand but not the right-hand operand. The result of UNION, INTERSECT, or EXCEPT contains no duplicate nodes, based on node identity--in other words, the same node will not appear more than once in the result, but different nodes may appear that have the same name and value. The result of UNION, INTERSECT, or EXCEPT is a sequence in which all the nodes appear in document order if they are all in the same document; if the resulting nodes are not in the same document, their order is implementation-defined.

**Ed. Note:** The definitions of UNION, INTERSECT, and EXCEPT for simple values are still under discussion. See [xquery-set-operators-on-values](#) in Appendix C.

From XML [\[XQL\]](#), XQuery inherits the infix operators BEFORE and AFTER, which are useful in searching based on position in a sequence. BEFORE operates on two sequences of nodes and returns those nodes in the first sequence that occur before at least one node in the second sequence in document order (of course, this is possible only if the two sequences are subsets of the same document.) AFTER is defined in a similar way. Since BEFORE and AFTER are based on global document ordering, they can compare the positions of nodes that do not have the same immediate parent. The next two examples illustrate the use of BEFORE and AFTER by retrieving excerpts from a surgical report that includes <procedure>, <incision>, and <anesthesia> elements.

*(Q19) Prepare a "critical sequence" report consisting of all elements that occur between the first and second incision in the first procedure.*

```
<critical_sequence>
{
  LET $p := //procedure[1]
  FOR $e IN //* AFTER ($p//incision)[1]
    BEFORE ($p//incision)[2]
  RETURN shallow($e)
}
```

```
</critical_sequence>
```

The `shallow` function makes a shallow copy of a node, including attributes but not including subelements.

*(Q20) Find procedures in which no anesthesia occurs before the first incision.*

```
# Finds potential lawsuits
FOR $p in //procedure
WHERE not(empty($p//incision))
AND empty($p//anesthesia BEFORE ($p//incision)[1])
RETURN $p
```

The `empty` function returns True if and only if its argument is an empty sequence.

## 2.6 Conditional Expressions

### Syntax

```
IfExpr ::= "if" "(" Expr ")" "then" Expr "else" Expr
```

A conditional expression evaluates a test expression and then returns one of two result expressions. If the value of the test expression is True, the value of the first result expression is returned; otherwise, the value of the second result expression is returned.

**Ed. Note:** The grammar for XQuery allows any expression as a conditional. We have not yet determined which types of test expressions can be implicitly converted to Boolean, and which raise errors. See [xquery-anything-to-boolean](#) in Appendix C.

As an example of a conditional expression, consider a library that has many holdings, each described by a `<holding>` element with a "type" attribute that identifies its type: book, journal, etc. All holdings have a title and other nested elements that depend on the type of holding.

*(Q21) Make a list of holdings, ordered by title. For journals, include the editor, and for all other holdings, include the author.*

```
FOR $h IN //holding
RETURN
  <holding>
    {$h/title,
     IF ($h/@type = "Journal")
     THEN $h/editor
     ELSE $h/author
    }
  </holding>
SORTBY (title)
```

Note the syntactic structure of the above query. The query consists of a SORTBY applied to a FLWR-expression that contains an element constructor. The element constructor generates `<holding>` elements. The content of these elements is specified by a sequence that consists of a path expression and a conditional expression, separated by a comma. The following is an equivalent formulation of the same query in which the element constructor contains two separate XQuery expressions rather than a single sequence expression. Note that no comma is used in the alternative form.

(Q21, *alternate form*)

```
FOR $h IN //holding
RETURN
  <holding>
    {$h/title}
    {IF ($h/@type = "Journal")
     THEN $h/editor
     ELSE $h/author
    }
  </holding>
SORTBY (title)
```

## 2.7 Quantified Expressions

Occasionally it is necessary to test for existence of some element that satisfies a condition, or to determine whether all elements in some collection satisfy a condition. For this purpose, XQuery provides two forms of expression called the "some" expression and the "every" expression. These forms of expression are also known as *quantified expressions*. The "some" expression uses an existential quantifier, and the "every" expression uses a universal quantifier.

### Syntax

```
SomeExpr ::= "some" Variable "in" Expr "satisfies" Expr
EveryExpr ::= "every" Variable "in" Expr "satisfies" Expr
```

The "some" expression is illustrated in the next example. The value of a "some" expression is always True or False. Like the FOR-clause of a FLWR expression, a "some" expression generates multiple bindings for a variable, using values returned by the expression in the IN-clause. For each of these bindings, the expression in the SATISFIES expression is executed. If at least one execution of the SATISFIES expression returns the Boolean value True, then the value of the "some" expression is True; otherwise the value of the "some" expression is False. Of course, if the expression in the IN-clause does not return any nodes, the SATISFIES expression is not evaluated and the result of the "some" expression is False.

(Q22) *Find titles of books in which both sailing and windsurfing are mentioned in the same paragraph.*

```
FOR $b IN //book
WHERE SOME $p IN $b//para SATISFIES
  (contains($p, "sailing") AND contains($p, "windsurfing"))
RETURN $b/title
```

The "every" expression is illustrated in the next example. Like the "some" expression, the "every" expression always returns True or False, and it executes the SATISFIES-clause once for each node returned by the IN-clause. If at least one execution of the SATISFIES expression returns the Boolean value False, then the value of the "every" expression is False; otherwise the value of the "every" expression is True. Of course, if the expression in the IN-clause does not return any nodes, the SATISFIES expression is not evaluated and the result of the "every" expression is True.

(Q23) *Find titles of books in which sailing is mentioned in every paragraph.*

```
FOR $b IN //book
WHERE EVERY $p IN $b//para SATISFIES
  contains($p, "sailing")
```

```
RETURN $b/title
```

Q23 also returns books that contain no paragraphs.

It is sometimes useful to nest quantified expressions, as in the following example:

*(Q24) Assume that employees can have multiple skills and multiple duties. Find names of employees who have some duty that is not matched by a skill.*

```
FOR $e IN //emp
WHERE SOME $d IN $e/duty SATISFIES
  not(SOME $s IN $e/skill SATISFIES $s = $d)
RETURN $e/name
```

**Ed. Note:** Open issue: should quantifiers be able to bind more than one variable? See issue [xquery-quantifier-multiple-variables](#) in Appendix C.

## 2.8 Datatypes

XQuery has a type system that is based on XML Schema. By using the datatype names defined in the namespace <http://www.w3.org/2001/XMLSchema> (hereafter abbreviated as `xsd`), all the primitive and derived datatypes of XML Schema can be used in queries. Other complex types declared using XML Schema can also be referred to by their qualified names.

In XQuery, type names appear in function declarations where they specify the types of the function parameters and result. Type names are also used in CAST and TREAT expressions and as operands of the INSTANCEOF operator, as described in Section 2.11.

Certain XML Schema datatypes have literal forms that are recognized by XQuery, as illustrated by the following examples:

Type	Example of literal
<code>xsd:string</code>	"Hello"
<code>xsd:integer</code>	47, -369
<code>xsd:decimal</code>	-2.57
<code>xsd:float</code>	-3.805E-2

Literal values of XML Schema types other than string, integer, decimal, and float can be specified by means of constructor functions such as `true()`, `false()`, and `date("2000-06-25")`, or by cast expressions such as `CAST AS xsd:positiveInteger(47)`.

**Ed. Note:** The set of constructor functions has not yet been fixed. A complete specification of XQuery literal formats and constructor functions will be provided in *XQuery Functions and Operators* (to appear.)

## 2.9 Functions

*Syntax*

```

FunctionDefn ::= "define" "function" QName "(" ParamList? ")"
              ("returns" Datatype)? EnclosedExpr
ParamList    ::= Param ("," Param)*
Param        ::= Datatype? Variable
FunctionCall ::= QName "(" (Expr ("," Expr)*)? ")"

```

XQuery provides a core library of built-in functions. We have already introduced some of these core functions, such as `document`, which returns the root node of a named document. The XQuery core function library contains all the functions of the XPath core function library, as well as aggregation functions such as `avg`, `sum`, `count`, `max`, and `min`, and many other useful functions. For example, the `distinct` function eliminates duplicate nodes from a sequence, and the `empty` function returns True if and only if its argument is an empty sequence. A complete specification of the XQuery core function library is provided in *XQuery Functions and Operators* (to appear.)

In addition to the core functions, XQuery allows users to define functions of their own. A function definition specifies the name of the function, the names and datatypes of the parameters, and the datatype of the result. Datatypes are specified by their qualified names. A function definition also provides an expression (called the "function body") that defines how the result of the function is computed from its parameters. When a function is invoked, its arguments must be valid instances of the declared parameter types. The result of a function must also be a valid instance of the declared result type.

If a function parameter is declared using a name but no type, it is considered to have the default type "any node." If the RETURNS clause is omitted from a function definition, the result-type of the function is considered to be "any sequence of nodes."

**Ed. Note:** The syntax for declaring types in a function definition is still under discussion. A notation is needed to distinguish element-names from type-names. A notation is also needed to distinguish a single node from a sequence of nodes. A "wildcard" notation is also needed to denote "any element" or "any node." Various alternatives have been proposed for these notations. In this document, function definitions that need "wildcard" types will use the defaults described above (no explicit type declaration). See issue [xquery-function-definition](#) in Appendix C.

XQuery Version 1 does not allow user-defined functions to be overloaded--that is, it does not allow multiple functions to be declared with the same name. We consider function overloading to be a useful and important feature that deserves further study in future versions of XQuery. Although XQuery does not allow overloading of user-defined functions, some of the built-in functions in the XQuery core library are overloaded--for example, the `string` function of XPath can convert an instance of almost any type into a string, and it can be invoked with either one argument or zero arguments.

**Ed. Note:** The XQuery rules for function calls need to allow for functions with optional arguments that default to the current node, such as `data()`. Many XPath functions behave like this. Should this apply to all functions? All unary functions? Only certain functions? See issue [xquery-implicit-current-node](#) in Appendix C.

It is possible in XQuery to invoke a function with arguments whose types do not exactly match the declared parameter types of the function, under the following circumstances:

1. A fixed "promotion hierarchy" is defined among the primitive and derived types of XML Schema. A function whose declared parameter type is in this hierarchy can be invoked with an argument whose type is lower in the hierarchy. For example, a function with a declared parameter-type of

Float can be invoked with an integer argument. In such a case, the argument is converted to the declared type of the parameter.

**Ed. Note:** The promotion hierarchy for function parameters needs to be defined. See issue [xquery-schema-type-promotion](#) in Appendix C.

2. A function whose declared parameter is a Schema complex type can be called with an argument of another complex type that is derived from the parameter type by extension or by restriction. This is called the principle of *subtype substitutability*.

**Ed. Note:** This rule is still under discussion. It is possible that subtype substitutability may not be supported in the first version of XQuery. See issue [xquery-subtype-substitutability](#) in Appendix C.

3. A function whose declared parameter is a Schema element can be called with an argument that is any element in a substitution group whose head is the declared parameter element.

**Ed. Note:** This rule is still under discussion. It is possible that substitution groups may not be supported in the first version of XQuery. See issue [xquery-substitution-groups](#) in Appendix C.

4. A function whose declared parameter is a sequence of a given type can be invoked with an argument that is a single instance of the given type (this is obvious, since there is no distinction between a single object and an object sequence of length one.)
5. A function whose declared parameter is a given type can be invoked with an argument that is a sequence of the given type. In this case, the function is invoked on each of the members of the argument sequence, in order, and the results are concatenated into a result sequence. For example, suppose that the function `price(Book)` is declared to take a `Book` and return an integer. If this function is invoked on a path expression, as in `price(//Book[author="Mark Twain"])`, the result is a sequence of integers representing the prices of the Books returned by the path expression, in order. This rule is intended to be consistent with XPath, in which each step in a path expression iterates over the nodes returned by the previous step without requiring an explicit iteration operator.

This rule generalizes to functions with multiple parameters in the following way: suppose that  $N$  arguments of a function-call are sequences that match function-parameters where single elements are expected. Then the result of the function-call is a sequence whose members are formed by invoking the function on tuples of arguments taken from the Cartesian product of the  $N$  input sequences. The Cartesian product is formed by nested iteration over the input sequences, working from left to right.

**Ed. Note:** This rule is still under discussion. If implicit iteration over argument-sequences is not supported in XQuery, the same result can be obtained by explicit iteration using either a FLWR-expression or a path-expression. See issue [xquery-sequence-for-single](#) in Appendix C.

A function may be defined recursively--that is, it may reference its own definition. Mutually recursive functions, whose bodies reference each other, are also allowed. The next query contains an example of a recursive function that computes the depth of an element hierarchy. In its definition, the user-defined function `depth` calls the built-in functions `empty` and `max`.

(Q25) Find the maximum depth of the document named "partlist.xml."

```

NAMESPACE xsd = "http://www.w3.org/2001/XMLSchema"

DEFINE FUNCTION depth($e) RETURNS xsd:integer
{
  # An empty element has depth 1
  # Otherwise, add 1 to max depth of children
  IF (empty($e/*)) THEN 1
  ELSE max(depth($e/*)) + 1
}

depth(document("partlist.xml"))

```

In the above example, since no explicit type is declared for the function parameter, the function accepts any node as its argument. The expression `$e/*` returns all the children of the argument node, and the expression `depth($e/*)` implicitly iterates over these children, returning their respective depths as a sequence of integers. The expression `max(depth($e/*))` finds the maximum depth of any child of the argument node.

**Ed. Note:** If implicit iteration over argument sequences is not supported, the expression `max(depth($e/*))` could be expressed as `max(for $c in $e/* return depth($c))` or as `max($e/*/depth(.))`.

To further illustrate the power of functions, we will write a function that returns all the elements that are "connected" to a given element by child or reference connections, and a recursive function that returns all the elements that are "reachable" from a given element by child or reference connections.

*(Q26) In the document "company.xml", find all the elements that are reachable from the employee with serial number 12345 by child or reference connections.*

```

DEFINE FUNCTION connected($e)
{
  $e/* UNION $e/@*=>*
}

DEFINE FUNCTION reachable($e)
{
  $e UNION reachable(connected($e))
}

reachable(document("company.xml")/emp[serial="12345"])

```

The `connected` and `reachable` functions each take (by default) a node as parameter and return a sequence of nodes as result. The `reachable` function invokes itself recursively to find all the elements that are reachable from the elements that are directly connected to its parameter node.

**Ed. Note:** If implicit iteration over argument sequences is not supported, the expression `reachable(connected($e))` could be expressed as `connected($e)/reachable(.)`.

The `filter` function (described more fully in Section 3.1) can be used to select a set of nodes from a hierarchy while preserving the original relationships among these nodes. In the following example, `filter` is used together with the `reachable` function defined in the previous example to return all the elements that are reachable from a specific employee element, while preserving their hierarchic and sequential relationships.

*(Q27) Return a fragment of the document "company.xml" consisting of all nodes reachable from*

*employee no. 12345, preserving the original relationships among the reachable nodes.*

```
filter(reachable(document("company.xml")/emp[empno="12345"]))
```

Of course, it is possible to write a recursive function that fails to terminate for some set of arguments. In fact, the `reachable` function in the previous example will fail to terminate if called on an element that references one of its ancestors. It is the user's responsibility to avoid writing a nonterminating function call.

## 2.10 User-Defined Datatypes

In addition to the primitive and derived datatypes of XML Schema, any datatype that can be constructed using the definition facilities of XML Schema can be used as an XQuery datatype. XML Schema language can be used to define an element or datatype and to give it a qualified name, which can then be used in an XQuery function declaration. For example, a schema might define an element named `PurchaseOrder` by specifying a set of attributes and a content model based on sequences and alternations of various other elements. `PurchaseOrder` could then be used as the type of a function parameter in a query.

A query can refer to element-names and type-names that are defined in any of the following schemas:

1. Schemas that are referenced by documents used in the query; i.e., the implicit input document (s) or any document referenced by the `document` function.
2. Schemas that are explicitly referenced by `NAMESPACE` and `SCHEMA` declarations, as described in Section 2.12.

In the following examples, a schema defines complex types named `emp_sequence` and `dept_sequence` in the target namespace `http://www.bigcompany.example.com/BigNames`. A query then uses these datatypes to define and invoke a function.

*(Q28) Using XML Schema language, define complex types named `emp_sequence` and `dept_sequence` in a target namespace.*

```
<?xml version="1.0">
<schema xmlns="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.bigcompany.example.com/BigNames">

  <complexType name="emp_sequence">
    <sequence>
      <element name="emp" minOccurs="0" maxOccurs="unbounded">
        <complexType>
          <sequence>
            <element name="name" type="string"/>
            <element name="deptno" type="string"/>
            <element name="salary" type="decimal"/>
            <element name="location" type="string"/>
          </sequence>
        </complexType>
      </element>
    </sequence>
  </complexType>

  <complexType name="dept_sequence">
    <sequence>
      <element name="dept" minOccurs="0" maxOccurs="unbounded">
```



```

        <complexType>
          <sequence>
            <element name="deptno" type="string"/>
            <element name="headcount" type="integer"/>
            <element name="payroll" type="decimal"/>
          </sequence>
        </complexType>
      </element>
    </sequence>
  </complexType>

</schema>

```

*(Q29) Using the datatypes defined in Q28, create a function that summarizes employees by department, and use this function to summarize all the employees of Acme Corp. that are located in Denver.*

```

DEFAULT NAMESPACE = "http://www.bigcompany.example.com/BigNames"
SCHEMA "http://www.bigcompany.example.com/BigNames"
      "http://www.bigcompany.example.com/schemas/names.xsd"

DEFINE FUNCTION summary(emp_sequence $emps) RETURNS dept_sequence
{
  FOR $d IN distinct($emps/deptno)
  LET $e := $emps[deptno = $d]
  RETURN
    <dept>
      {$d}
      <headcount> {count($e)} </headcount>
      <payroll> {sum($e/salary)} </payroll>
    </dept>
}

summary(document("acme_corp.xml")//emp[location = "Denver"] )

```

It is sometimes desirable to validate that the result of a query conforms to a specific datatype. This can be done by taking advantage of the fact that XQuery functions validate the datatypes of their parameters and results. For example, suppose that some query Q is intended to generate output that conforms to the datatype `abc:PurchaseOrder` (for some suitable binding of the namespace prefix `abc`). The query Q can be type-validated by "wrapping" it in a function that takes an instance of the desired type as a parameter and simply returns it, as in the following example:

*(Q30) Validate that the result of a query Q conforms to the datatype `abc:PurchaseOrder` (Q may be arbitrarily complex).*

```

DEFINE FUNCTION check(abc:PurchaseOrder $po) RETURNS abc:PurchaseOrder
{ $po }

check(Q)

```

## 2.11 Operations on Datatypes

### Syntax

```

InstanceofExpr ::= Expr "instanceof" "only"? Datatype
TypeSwitchExpr ::= "typeswitch" "(" Expr ")" ("as" Variable)?
                  CaseClause+ "default" "return" Expr
CaseClause     ::= "case" Datatype "return" Expr
CastExpr      ::= (("cast" "as") | ("treat" "as")) Datatype "(" Expr ")"
Datatype      ::= QName

```

The Boolean operator `INSTANCEOF` returns `True` if its first operand is an instance of the type named in its second operand; otherwise it returns `False`. For example, `$x INSTANCEOF zoonames:animal` returns `True` if the dynamic type of `$x` is `zoonames:animal` or a subtype of `zoonames:animal`. If the keyword `ONLY` is specified, `INSTANCEOF` returns `True` only if the dynamic type of its first operand exactly matches the specified type, excluding subtypes from consideration. For example, `$x INSTANCEOF ONLY zoonames:animal` returns `True` if the dynamic type of `$x` is `zoonames:animal` but not a subtype of `zoonames:animal`.

The datatype that can be associated with an expression by static analysis of a query is called the *static type* of the expression. During execution, the actual value of an expression may have a type (called its *dynamic type*) that is a subtype of its static type. For example, a variable named `$mypet` whose static type is `Animal` may contain a value of type `Duck`, a subtype of `Animal`. The dynamic type of an expression may influence the processing of a query. For example, if the variable `$mypet` contains a value of dynamic type `Duck`, a query might invoke the function `quack($mypet)`, which is defined only for values of type `Duck`. The `typeswitch` expression of XQuery allows users to write queries that are sensitive to dynamic type.

In a `typeswitch` expression, the `TYPESWITCH` keyword is followed by an expression enclosed in parentheses, called the operand expression. This is the expression whose dynamic type is being tested. The operand expression can be followed by an `AS` clause that defines a variable, called the operand variable, to represent the value of the operand expression. The remainder of the `typeswitch` expression consists of one or more `CASE` clauses and a `DEFAULT` clause.

Each `CASE` clause specifies the name of a type, which must be a subtype of the static type of the operand expression, followed by a `RETURN` expression. The effective case is the first `CASE` clause such that the value of the operand expression is an instance of the type named in the `CASE` clause. The value of the `typeswitch` expression is the value of the `RETURN` expression in the effective case. If the value of the operand expression is not an instance of any type named in a `CASE` clause, the value of the `typeswitch` expression is the value of the `RETURN` expression in the `DEFAULT` clause.

The `RETURN` expressions in `CASE` and `DEFAULT` clauses typically contain references to the operand variable (defined in the `AS` clause). If the operand expression consists of a single variable, it can serve as the operand variable and the `AS` clause can be omitted. The `AS` clause can also be omitted if the `RETURN` expressions do not contain references to the operand variable.

The following example shows how a `typeswitch` expression can be used to simulate a primitive form of subtype polymorphism. A more robust treatment of polymorphic functions is deferred to a later version of XQuery.

*(Q31) Define a function named `sound(animal)` that returns different strings for various types of animals. Use the function in a query that returns the sounds made by all of Billy's pets.*

```

# First define some functions to set the stage
NAMESPACE xsd = "http://www.w3.org/2001/XMLSchema"
DEFAULT NAMESPACE = "http://www.abc.example.com/names"

```

```

SCHEMA "http://www.abc.example.com/names"
      "http://www.abc.example.com/schemas/names.xsd"

DEFINE FUNCTION quack(duck $d) RETURNS xsd:string
  { "String depends on properties of duck" }

DEFINE FUNCTION woof(dog $d) RETURNS xsd:string
  { "String depends on properties of dog" }

# This function illustrates simulated subtype polymorphism

DEFINE FUNCTION sound(animal $a) RETURNS xsd:string
  {
    TYPESWITCH ($a)
      CASE duck RETURN quack($a)
      CASE dog RETURN woof($a)
      DEFAULT RETURN "No sound"
  }

# This query returns the sounds made by all of Billy's pets

FOR $p IN //kid[name="Billy"]/pet
RETURN sound($p)

```

**Ed. Note:** If subtype substitutability is not supported in XQuery Version 1, the motivation for TYPESWITCH is weakened and the decision to support TYPESWITCH should be revisited. See issue [xquery-subtype-substitutability](#) in Appendix C.

Occasionally it is necessary to explicitly convert a value from one datatype to another. For the primitive and derived types of XML Schema, a CAST notation is supported that provides conversions between certain combinations of types. For example, the notation `CAST AS xsd:integer (x DIV y)` converts the result of `x DIV y` into the `xsd:integer` type. The set of type conversions that are supported by the CAST operator are specified in *XQuery Functions and Operators* (to appear.) Conversions among user-defined datatypes are not supported by the CAST notation, but user-defined functions can be written for this purpose.

In addition to CAST, XQuery provides a notation called TREAT. Rather than converting an expression from one datatype to another, TREAT causes the query processor to treat an expression as though its datatype were a subtype of its static type. For example, `TREAT AS Cat($mypet)` tells the query processor to treat the variable `$mypet` as though it were an instance of the type `Cat`, even though the static type of `$mypet` is a supertype of `Cat` such as `Animal`. This notation allows functions that require an argument of type `Cat` to be invoked on the variable `$mypet`. At query execution time, if the dynamic type of `$mypet` is not `Cat`, an error results.

**Ed. Note:** The functionality of TREAT can also be expressed using TYPESWITCH. A proposal to remove the TREAT expression is under consideration. See issue [xquery-remove-treat](#) in Appendix C.

## 2.12 Structure of a Query Module

The XQuery language consists of units called *query modules*. Each query module is independent, but for convenience, multiple query modules, separated by semicolons, can be parsed together. For example, a test suite for an XQuery parser might consist of a file containing several query modules.

**Ed. Note:** The definition and syntax of a query module are still under discussion in the working group. The specifications in this section are pending approval by the working group. See issue [xquery-module-syntax](#) in Appendix C.

*Syntax*

```

QueryModuleList ::= QueryModule ( ";" QueryModule)*
QueryModule    ::= ContextDecl* FunctionDefn* ExprSequence?
ContextDecl    ::= ("namespace" NCName "=" StringLiteral)
                  | ("default" "namespace" "=" StringLiteral)
                  | ("schema" StringLiteral StringLiteral)
Expr           ::= SortExpr
                  | OrExpr
                  | AndExpr
                  | BeforeAfterExpr
                  | FLWRExpr
                  | IfExpr
                  | SomeExpr
                  | EveryExpr
                  | TypeSwitchExpr
                  | EqualityExpr
                  | RelationalExpr
                  | InstanceofExpr
                  | RangeExpr
                  | AdditiveExpr
                  | MultiplicativeExpr
                  | UnaryExpr
                  | UnionExpr
                  | IntersectExceptExpr
                  | PathExpr

```

The first part of a query module consists of declarations of namespace prefixes and external schemas that are used in the remainder of the query module. Each namespace prefix used in a query module must be defined in a namespace declaration that associates it with a Universal Resource Identifier (URI), as in the following example:

*(Q32) In the document "zoo.xml", find <tiger> elements in the `http://www.abc.example.com/names` namespace that contain any subelement in the `http://www.xyz.example.com/names` namespace.*

```

NAMESPACE abc = "http://www.abc.example.com/names"
NAMESPACE xyz = "http://www.xyz.example.com/names"
document("zoo.xml")//abc:tiger[xyz:*]

```

A namespace declaration can also be used to declare a default namespace that applies to all unqualified element names used in a query, as shown in the following example:

*(Q32, alternate form) (Equivalent to Q32)*

```

DEFAULT NAMESPACE = "http://www.abc.example.com/names"
NAMESPACE xyz = "http://www.xyz.example.com/names"
document("zoo.xml")//tiger[xyz:*]

```

If no default namespace is declared for a query, names without prefixes used in the query module match nodes with unqualified names.

A query module may also contain schema declarations, which specify the URIs of schemas that are associated with particular namespaces. In the following example, each of the namespace declarations is followed by a schema declaration giving the URI of the schema that is associated with the namespace:

*(Q32, second alternate form) (Equivalent to Q32, with explicit schemas)*

```

DEFAULT NAMESPACE = "http://www.abc.example.com/names"

SCHEMA "http://www.abc.example.com/names"
      "http://www.abc.example.com/schemas/names.xsd"

NAMESPACE xyz = "http://www.xyz.example.com/names"
SCHEMA "http://www.xyz.example.com/names"
      "http://www.xyz.example.com/schemas/names.xsd"

document("zoo.xml")//tiger[xyz:*]

```

Following the namespace and schema declarations, a query module may contain one or more function definitions. Each function defined in a query module can be invoked anywhere in that query module. A query module that consists only of declarations and function definitions is called a function library.

**Ed. Note:** The means by which a query module gains access to the functions defined in an external function library remains to be defined. See issue [xquery-import](#) in Appendix C.

Following the declarations and function definitions, a query module may contain an expression or sequence of expressions that represents the query itself. The result of the query is the value of this expression or sequence.

## 3 Example Applications

This section describes several interesting types of queries that can be expressed using the syntax introduced in the previous section.

### 3.1 Filtering

One of the functions in the XQuery core function library is called `filter`. This function takes a single parameter which can be any expression. The function evaluates its argument and returns a shallow copy of the nodes that are selected by the argument, preserving any relationships that exist among these nodes. For example, suppose that the argument to `filter` is a path expression that selects nodes X, Y, and Z from some document. Suppose that, in the original document, nodes Y and Z are descendants (at any level) of node X. Then the result of `filter` is a copy of node X, with copies of nodes Y and Z as its immediate children. Any other intervening nodes from the original document are not present in the result. The name `filter` suggests a function that operates on a document to extract the parts that are of interest and discard the remainder, while retaining the structure of the original document.

The semantics of `filter` are illustrated by Figure 2. Suppose that the left side of Figure 2 represents

a node hierarchy that is bound to the variable `$doc`. The right side of Figure 2 shows the result of the function `filter($doc // (A | B))`. The result contains copies of all nodes of type A and B in the original hierarchy, with their original relationships preserved. Note that the action of the `filter` function may split a node hierarchy into multiple hierarchies (preserving the sequential relationships among the root nodes of the resulting hierarchies.)

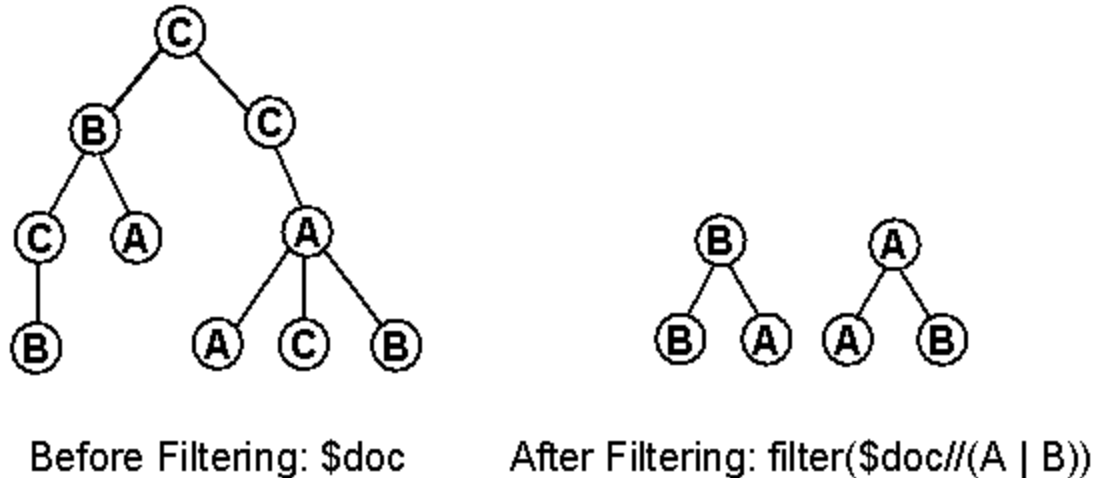


Figure 2: Action of the filter function

The following example illustrates how `filter` might be used to compute a table of contents for a document that contains many levels of nested sections. The query filters the document, retaining only section elements, title elements nested directly inside section elements, and the text of those title elements. Other elements, such as paragraphs and figure titles, are eliminated, leaving only the "skeleton" of the document.

*(Q33) Prepare a table of contents for the document "cookbook.xml", containing nested sections and their titles.*

```
<toc>
  {
    filter(document("cookbook.xml") //
      (section | section/title | section/title/text()))
  }
</toc>
```

### 3.2 Joins

Joins, which combine data from multiple sources into a single result, are a very important type of query. In this section we will illustrate how several types of joins can be expressed in XQuery. We will base our examples on the following three documents:

1. A document named "parts.xml" that contains many `<part>` elements; each `<part>` element in turn contains `<partno>` and `<description>` subelements.
2. A document named "suppliers.xml" that contains many `<supplier>` elements; each `<supplier>` element in turn contains `<suppno>` and `<suppname>` subelements.
3. A document named "catalog.xml" that contains information about the relationships between

suppliers and parts. The catalog document contains many <item> elements, each of which in turn contains <partno>, <suppno>, and <price> subelements.

A conventional ("inner") join returns information from two or more related sources, as illustrated by the following example, which combines information from three documents:

*(Q34) Generate a "descriptive catalog" derived from the catalog document, but containing part descriptions instead of part numbers and supplier names instead of supplier numbers. Order the new catalog alphabetically by part description and secondarily by supplier name.*

```
<descriptive-catalog>
  {
    FOR $i IN document("catalog.xml")//item,
      $p IN document("parts.xml")//part[partno = $i/partno],
      $s IN document("suppliers.xml")//supplier[suppno = $i/suppno]
    RETURN
      <item>
        {
          $p/description,
          $s/suppname,
          $i/price
        }
      </item>
    SORTBY(description, suppname)
  }
</descriptive-catalog>
```

Q34 returns information only about parts that have suppliers and suppliers that have parts. An "outer join" is a join that preserves information from one or more of the participating sources, including elements that have no matching element in the other source. For example, a "left outer join" between suppliers and parts might return information about suppliers that have no matching parts. Q35 is an example of a left outer join.

*(Q35) Return names of all the suppliers in alphabetic order, including those that supply no parts; inside each supplier element, list the descriptions of all the parts it supplies, in alphabetic order.*

```
FOR $s IN document("suppliers.xml")//supplier
RETURN
  <supplier>
    {
      $s/suppname,
      FOR $i IN document("catalog.xml")//item
        [suppno = $s/suppno],
        $p IN document("parts.xml")//part
          [partno = $i/pno]
      RETURN $p/description
      SORTBY(.)
    }
  </supplier>
SORTBY(suppname)
```

Q35 preserves information about suppliers that supply no parts. Another type of join, called a "full outer join", might be used to preserve information about both suppliers that supply no parts and parts that have no supplier. The result of a full outer join can be structured in any of several ways. The example in Q36 uses a format of parts nested inside suppliers, followed by a sequence of parts that have no supplier. This might be thought of as a "supplier-centered" full outer join. A "part-centered" full outer join, on the other hand, might return a sequence of suppliers nested inside parts, followed by a

sequence of suppliers that have no parts. Other forms of outer join queries are also possible.

*(Q36) Return names of suppliers and descriptions and prices of their parts, including suppliers that supply no parts and parts that have no suppliers.*

```

<master_list>
  {
    FOR $s IN document("suppliers.xml")//supplier
    RETURN
      <supplier>
        {
          $s/suppname,
          FOR $i IN document("catalog.xml")//item
            [suppno = $s/suppno],
          $p IN document("parts.xml")//part
            [partno = $i/partno]
          RETURN
            <part>
              {
                $p/description,
                $i/price
              }
            </part>
          SORTBY (description)
        }
      </supplier>
    SORTBY(suppname)
  }
  ,
  # parts that have no supplier
  <orphan_parts>
    { FOR $p IN document("parts.xml")//part
      WHERE empty(document("catalog.xml")//item
        [partno = $p/partno] )
      RETURN $p/description
      SORTBY(.)
    }
  </orphan_parts>
}
</master_list>

```

Q36 uses an element constructor to enclose its output inside a `<master_list>` element. The concatenation operator (`,`) is used to combine the two main parts of the query. The result is an ordered sequence of `<supplier>` elements followed by an `<orphan_parts>` element that contains descriptions of all the parts that have no supplier.

### 3.3 Grouping

Many queries involve forming data into groups and applying some aggregation function such as `count` or `avg` to each group. The following example shows how such a query might be expressed in XQuery, using the catalog document defined in the previous section:

*(Q37) Find the part number and average price for parts that have at least 3 suppliers.*

```

FOR $pn IN distinct(document("catalog.xml")//partno)
LET $i := document("catalog.xml")//item[partno = $pn]
WHERE count($i) >= 3
RETURN
  <well_supplied_item>

```



```

    {$pn}
    <avgprice> {avg($i/price)} </avgprice>
  </well_supplied_item>
SORTBY(partno)

```

The `distinct` function in this query eliminates duplicate part numbers from the set of all part numbers in the catalog document. The result of `distinct` is a sequence in which order is not significant.

Note that `$pn`, bound by a FOR-clause, represents an individual part number, whereas `$i`, bound by a LET-clause, represents a set of items which serves as argument to the aggregate functions `count($i)` and `avg($i/price)`. The query uses an element constructor to enclose each part number and average price in a containing element called `<well_supplied_item>`.

## 4 Conclusion

With the emergence of XML, the distinctions among various forms of information, such as documents and databases, are quickly disappearing. XQuery is designed to support queries against a broad spectrum of information sources. The versatility of XQuery will help XML to realize its potential as a universal medium for data interchange.

This specification describes XQuery Version 1. Future versions of XQuery may include additional features such as the following:

1. Data definition facilities for persistent views.
2. Function overloading and polymorphic functions.
3. Facilities for updating XML data.
4. An extensibility mechanism whereby function libraries can be created, containing functions implemented in various programming languages.

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## B XQuery Grammar

This appendix contains an LL(1) grammar for XQuery. We will be collecting grammars for specific parser generators, executable parsers, and collections of queries from the XML Query documents on the XML Query Working Group's public home page (<http://www.w3.org/XML/Query>).

[1]	QueryModuleList	::=	QueryModule ( ";" QueryModule)*
[2]	QueryModule	::=	ContextDecl* FunctionDefn* ExprSequence?
[3]	ContextDecl	::=	("namespace" QName "=" StringLiteral)   ("default" "namespace" "=" StringLiteral)   ("schema" StringLiteral StringLiteral)
[4]	FunctionDefn	::=	"define" "function" QName "(" ParamList? ")" ("returns" Datatype)? EnclosedExpr
[5]	ParamList	::=	Param ("," Param)*
[6]	Param	::=	Datatype? Variable
[7]	Expr	::=	SortExpr   OrExpr   AndExpr   BeforeAfterExpr   FLWRExpr   IfExpr   SomeExpr   EveryExpr   TypeSwitchExpr   EqualityExpr   RelationalExpr   InstanceofExpr   RangeExpr   AdditiveExpr   MultiplicativeExpr   UnaryExpr   UnionExpr   IntersectExceptExpr   PathExpr
[8]	SortExpr	::=	Expr "sortBy" "(" SortSpecList ")"
[9]	SortSpecList	::=	Expr ("ascending"   "descending")? ("," SortSpecList)?
[10]	OrExpr	::=	Expr "or" Expr
[11]	AndExpr	::=	Expr "and" Expr
[12]	BeforeAfterExpr	::=	Expr ("before"   "after") Expr
[13]	FLWRExpr	::=	(ForClause   LetClause)+ WhereClause? "return" Expr
[14]	ForClause	::=	"for" Variable "in" Expr ("," Variable "in" Expr)*
[15]	LetClause	::=	"let" Variable ":@" Expr ("," Variable ":@" Expr)*
[16]	WhereClause	::=	"where" Expr
[17]	IfExpr	::=	"if" "(" Expr ")" "then" Expr "else" Expr
[18]	SomeExpr	::=	"some" Variable "in" Expr "satisfies" Expr
[19]	EveryExpr	::=	"every" Variable "in" Expr "satisfies" Expr
[20]	TypeSwitchExpr	::=	"typeswitch" "(" Expr ")" ("as" Variable)? CaseClause+ "default" "return" Expr
[21]	CaseClause	::=	"case" Datatype "return" Expr
[22]	EqualityExpr	::=	Expr ("="   "!="   "=="   "!==") Expr

[23]	RelationalExpr	::=	Expr ("<"   "<="   ">"   ">=") Expr
[24]	InstanceofExpr	::=	Expr "instanceof" "only"? Datatype
[25]	RangeExpr	::=	Expr "to" Expr
[26]	AdditiveExpr	::=	Expr ("+"   "-") Expr
[27]	MultiplicativeExpr	::=	Expr ("*"   "div"   "mod") Expr
[28]	UnaryExpr	::=	("-"   "+") Expr
[29]	UnionExpr	::=	Expr ("union"   " ") Expr
[30]	IntersectExceptExpr	::=	Expr ("intersect"   "except") Expr
[31]	PathExpr	::=	RelativePathExpr   ("/" RelativePathExpr?)   ("//" RelativePathExpr?)
[32]	RelativePathExpr	::=	StepExpr ( "/"   "//" ) StepExpr*
[33]	StepExpr	::=	AxisStepExpr   OtherStepExpr
[34]	AxisStepExpr	::=	Axis NodeTest StepQualifiers
[35]	OtherStepExpr	::=	PrimaryExpr StepQualifiers
[36]	StepQualifiers	::=	( ("[" Expr "]" )   ("=>" NameTest) )*
[37]	Axis	::=	(NCName "::")   "@"
[38]	PrimaryExpr	::=	"."   ".."   NodeTest   Variable   Literal   FunctionCall   ParenthesizedExpr   CastExpr   ElementConstructor
[39]	Literal	::=	NumericLiteral   StringLiteral
[40]	NodeTest	::=	NameTest   KindTest
[41]	NameTest	::=	QName   Wildcard
[42]	KindTest	::=	PITest   CommentTest   TextTest   AnyKindTest
[43]	PITest	::=	"processing-instruction" "(" StringLiteral? ")"
[44]	CommentTest	::=	"comment" "(" ")"
[45]	TextTest	::=	"text" "(" ")"
[46]	AnyKindTest	::=	"node" "(" ")"
[47]	ParenthesizedExpr	::=	"(" ExprSequence? ")"
[48]	ExprSequence	::=	Expr ( "," Expr )*
[49]	FunctionCall	::=	QName "(" ( Expr ( "," Expr )* )? ")"
[50]	CastExpr	::=	(( "cast" "as" )   ("treat" "as" )) Datatype "(" Expr ")"
[51]	Datatype	::=	QName
[52]	ElementConstructor	::=	"<" NameSpec AttributeList ("/>"   ">" ElementContent* "</" (QName S?)? ">" )
[53]	NameSpec	::=	QName   ( "{" Expr "}" )
[54]	AttributeList	::=	( S (NameSpec S? "=" S? (AttributeValue   EnclosedExpr) AttributeList)? )?
[55]	AttributeValue	::=	( [" ] AttributeValueContent* [" ] )

			( [ ] AttributeValueContent* [ ] )
[56]	ElementContent	::=	Char   ElementConstructor   EnclosedExpr   CdataSection   CharRef   PredefinedEntityRef
[57]	AttributeValueContent	::=	Char   CharRef   EnclosedExpr   PredefinedEntityRef
[58]	CdataSection	::=	"<![CDATA[" Char* "]">"
[59]	EnclosedExpr	::=	"{" ExprSequence "}"

Precedence order of expressions, from highest precedence to lowest precedence (within each precedence level, operators are applied from left to right):

- ⌘ PathExpr
- ⌘ IntersectExceptExpr
- ⌘ UnionExpr
- ⌘ UnaryExpr
- ⌘ MultiplicativeExpr
- ⌘ AdditiveExpr
- ⌘ RangeExpr
- ⌘ RelationalExpr, InstanceofExpr
- ⌘ EqualityExpr
- ⌘ FLWRExpr, IfExpr, SomeExpr, EveryExpr, TypeswitchExpr
- ⌘ BeforeAfterExpr
- ⌘ AndExpr
- ⌘ OrExpr
- ⌘ SortExpr

*Lexical structure*

[60]	StringLiteral	::=	(["] [^"]* ["])   (['] [^']* ['])
[61]	NumericLiteral	::=	((["." [0-9]+]   ([0-9]+ ("." [0-9]+)?)) ([eE] [+]? [0-9]+)?
[62]	Wildcard	::=	"*"   (NCName ":"   ("*:" NCName)
[63]	Variable	::=	"\$" QName
[64]	PredefinedEntityRef	::=	"&" ("lt"   "gt"   "amp"   "quot"   "apos") ";"
[65]	CharRef	::=	"&#" ([0-9]+   ("x" [0-9a-fA-F]+)) ";"

Char and S are defined in [\[XML 1.0\]](#). QName and NCName are defined in [\[Namespaces\]](#).

## C XQuery Issues (Non-Normative)

This section contains the current issues for XQuery. The individual issues are shown in detail after an abbreviated issues list.

### C.1 Issue List

Issue	Priority	Status	ID
1: Collection types ( <a href="#">xquery-collection-types</a> )			
2: Definitions of Operators ( <a href="#">xquery-definition-of-operators</a> )			
3: Operators and functions ( <a href="#">xquery-operators-and-functions</a> )			
4: External Functions ( <a href="#">xquery-external-functions</a> )			
5: Function Definition ( <a href="#">xquery-function-definition</a> )			
6: Function Resolution ( <a href="#">xquery-function-resolution</a> )			
7: CAST expression ( <a href="#">xquery-cast-expression</a> )			
8: Type Guard ( <a href="#">xquery-type-guard</a> )			
9: Separation of clauses in FLWR ( <a href="#">xquery-separation-of-flowers</a> )			
10: Alignment of Syntax ( <a href="#">xquery-alignment-of-syntax</a> )			
11: Alternative syntax for element construction ( <a href="#">xquery-element-construction</a> )			
12: Fusion ( <a href="#">xquery-fusion</a> )			
13: Filter as a Function ( <a href="#">xquery-filter-function</a> )			
14: TRY/CATCH and error() ( <a href="#">xquery-try-catch-error</a> )			
15: Updates ( <a href="#">xquery-updates</a> )			
16: Algebra Mapping ( <a href="#">xquery-algebra-mapping</a> )			
17: XPath Productions ( <a href="#">xquery-xpath-productions</a> )			
18: Abstract Syntax ( <a href="#">xquery-abstract-syntax</a> )			
19: Recursion ( <a href="#">xquery-recursion</a> )			
20: Copy and Reference Semantics ( <a href="#">xquery-copy-reference</a> )			
21: View Definition ( <a href="#">xquery-persist-views-functions</a> )			
22: Human-Readable Syntax for Types ( <a href="#">xquery-type-syntax</a> )			
23: What is a Query ( <a href="#">xquery-query</a> )			
24: XPath Type Coercions ( <a href="#">xquery-xpath-coercions</a> )			
25: Support for Unordered Collections ( <a href="#">xquery-unordered-collections</a> )			

26: Identity-based equality operator <a href="#">(xquery-equality-identity)</a>
27: Deep equality <a href="#">(xquery-deep-equality)</a>
28: Reference Constructor <a href="#">(xquery-reference-constructor)</a>
29: Precedence of Operators <a href="#">(xquery-precedence)</a>
30: Queries with Invalid Content <a href="#">(xquery-invalid-content)</a>
31: Function Libraries <a href="#">(xquery-function-library)</a>
32: Correspondence of Types <a href="#">(xquery-type-correspondence)</a>
33: Excluding Undesired Elements <a href="#">(xquery-exclude-undesireables)</a>
34: Alignment of Precedence <a href="#">(xquery-align-precedence)</a>
35: Escape to ABQL <a href="#">(xquery-escape-to-abql)</a>
36: CAST and TREAT AS Syntax <a href="#">(xquery-cast-syntax)</a>
37: XML Schema Datatypes Constructors <a href="#">(xquery-datatype-constructors)</a>
38: Attribute Constructor Function <a href="#">(xquery-attribute-constructor-function)</a>
39: Attribute Name, Attribute Content <a href="#">(xquery-attribute-name-content)</a>
40: Dereference Operator and Links <a href="#">(xquery-dereference-links)</a>
41: XML Constructor <a href="#">(xquery-literal-xml-constructor)</a>
42: Eval <a href="#">(xquery-eval)</a>
43: Inline XML Schema Declarations <a href="#">(xquery-inline-xml-schema)</a>
44: Encoding <a href="#">(xquery-encoding)</a>
45: Typing of Filter <a href="#">(xquery-filter-typing)</a>
46: Typeswitch <a href="#">(xquery-typeswitch)</a>
47: Mapping Input Context <a href="#">(xquery-mapping-input-context)</a>
48: Accessing Element Data <a href="#">(xquery-data-function)</a>
49: Embedding XML in XQuery <a href="#">(xquery-embedding-xml)</a>
50: Embedding XQuery in XML <a href="#">(xquery-embedding-xquery-in-xml)</a>
51: Naive Implementation Strategy <a href="#">(xquery-naive-implementation)</a>
52: XML-based Syntax <a href="#">(xquery-abql)</a>
53: Mapping CAST AS <a href="#">(xquery-mapping-cast)</a>
54: Defining Behavior for Well Formed, DTD, and Schema Documents <a href="#">(xquery-define-schema-variants)</a>
55: Comments to end-of-line <a href="#">(xquery-comment-to-end-of-line)</a>
56: XPath Axes <a href="#">(xquery-xpath-axes)</a>
57: Quotes for computed attribute values <a href="#">(xquery-quote-computed-attribute-value)</a>
58: Computed element and attribute names <a href="#">(xquery-computed-element-names)</a>
59: Productions for Comments and Processing Instructions <a href="#">(xquery-comment-pi-productions)</a>
60: Arithmetic operators among sequences <a href="#">(xquery-arithmetic-among-sequences)</a>
61: Collation Sequences <a href="#">(xquery-collation-sequences)</a>
62: Nulls, nil, and three-valued logic <a href="#">(xquery-three-value-logic)</a>
63: Set operations based on value <a href="#">(xquery-set-operators-on-values)</a>
64: Converting general expressions to Boolean <a href="#">(xquery-anything-to-boolean)</a>

65: Quantifiers with multiple bindings? ( <a href="#">xquery-quantifier-multiple-variables</a> )
66: Implicit current node for functions? ( <a href="#">xquery-implicit-current-node</a> )
67: Subtype Substitutability ( <a href="#">xquery-subtype-substitutability</a> )
68: Substitution Groups ( <a href="#">xquery-substitution-groups</a> )
69: Sequences for single parameters ( <a href="#">xquery-sequence-for-single</a> )
70: Is TREAT AS necessary? ( <a href="#">xquery-remove-treat</a> )
71: Module syntax ( <a href="#">xquery-module-syntax</a> )
72: Importing Modules ( <a href="#">xquery-import</a> )
73: General discussion of errors ( <a href="#">xquery-general-errors</a> )
74: Cutting and pasting XML into XQuery ( <a href="#">xquery-cut-and-paste-xml</a> )
75: Normalized Equality ( <a href="#">xquery-normalized-equality</a> )
76: CASE not a subtype ( <a href="#">xquery-typeswitch-case-not-subtype</a> )
77: Namespace Prefix Redefine ( <a href="#">xquery-namespace-prefix-redefine</a> )
78: Namespace Attributes in Element Constructors ( <a href="#">xquery-namespace-attribute-declaration</a> )
79: Static versus Dynamic Errors ( <a href="#">xquery-errors-static-dynamic</a> )
80: Empty End Tags in Element Constructors ( <a href="#">xquery-empty-end-tag</a> )
81: Sorting by Non-exposed Data ( <a href="#">xquery-phantom-sortby</a> )
82: Semicolon as Query Module Separator ( <a href="#">xquery-semicolon</a> )
83: Escaping Quotes and Apostrophes ( <a href="#">xquery-escaping-quotes-and-apostrophes</a> )
84: Unqualified Function Names ( <a href="#">xquery-unqualified-function-names</a> )
85: Leading Minus ( <a href="#">xquery-leading-minus</a> )
86: Case-Sensitivity in Keywords ( <a href="#">xquery-case-sensitive-keywords</a> )
87: GROUPBY ( <a href="#">xquery-groupby</a> )
88: General Expressions in Path Steps ( <a href="#">xquery-step-expressions</a> )
89: Comparing Collections ( <a href="#">xquery-comparing-collections</a> )
90: Meaning of BEFORE and AFTER ( <a href="#">xquery-before-after</a> )
91: Lists of Element Constructors ( <a href="#">xquery-list-element-constructor</a> )
92: Static Type Assertions ( <a href="#">xquery-static-type-assertion</a> )
93: Names in Type Definitions ( <a href="#">xquery-names-type-definition</a> )
94: Path Expression Order ( <a href="#">xquery-path-expression-order</a> )
95: Full Expression Syntax in Steps ( <a href="#">xquery-full-expression-syntax</a> )
96: Promotion Hierarchy for XML Schema Types ( <a href="#">xquery-schema-type-promotion</a> )
97: Importing Schemas and DTDs into query ( <a href="#">xquery-schema-import</a> )
98: Support for schema-less and incompletely validated documents ( <a href="#">xquery-schemaless</a> )
99: Static type-checking vs. Schema validation ( <a href="#">xquery-static-versus-validate</a> )
100: Implementation of and conformance levels for static type checking ( <a href="#">xquery-type-conformance</a> )
101: Missing conformance section ( <a href="#">xquery-conformance</a> )
102: typeof() function ( <a href="#">xquery-typeof</a> )



## C.2 XQuery Issues

### Issue 1 : Collection types (xquery-collection-types)

*Originator:* XQuery Editors

*Locus:* requirements

#### **Description:**

XQuery currently considers `book` and `list(book)` to be different types. `List` is an abbreviation of the facets `minoccurs=0`, `maxoccurs = unbounded`. We need to confirm that this accurately reflects the type system of XML Schema.

### Issue 2 : Definitions of Operators (xquery-definition-of-operators)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

#### **Description:**

XPath defines some operators on lists in ways that differ from most commonly used languages. For example, if `$X` is a list, `$X+1` is defined as the result of adding 1 to the first element in `$X` (ignoring the other elements.) XQuery takes a more regular approach to operations on lists, as described in the section on functions. For example, if the function `increment(integer)` is defined to add 1 to its argument, then the function call `increment($X)` where `$X` is a list of integers will return a list in which every member has been incremented by 1.

This issue needs more study, which should probably occur on the joint task force between XML Query and XSL. Operations on lists may be one area in which work is needed to evolve the XPath specification toward Version 2.0.

It is very desirable that operators such as "+" occur only once in the grammar, not separately in the "XPath" and "non-XPath" parts of the language. This probably means that path expressions should be integrated into the XQuery grammar and not treated as a "terminal symbol." This is the approach that has been taken in the current CUP and JavaCC grammars.

Expressions involving operators must also be carefully defined. We expect this to be handled by the task force on operators and the XPath 2.0 task force.

### Issue 3 : Operators and functions (xquery-operators-and-functions)

*Originator:* XQuery Editorial Team

*Locus:* xquery-algebra

#### **Description:**

A complete list of XQuery operators and core functions is needed, with their signatures (datatypes of operands and results).

Sources of information: XPath specification, operator lists presented at recent F2F meetings by Frank

Olken and others.

Note: The Query Working Group and the Schema Working Group have agreed to spawn a joint task force to investigate this issue. The XQuery language will integrate the results of that work.

#### Issue 4 : External Functions (xquery-external-functions)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

##### **Description:**

An extensibility mechanism needs to be defined that permits XQuery to access a library of functions written in some other programming language such as Java.

Some sources of information: the definition of external functions in SQL, the implementation of external functions in Kweelt.

#### Issue 5 : Function Definition (xquery-function-definition)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

##### **Description:**

We need more thought about what constitutes a valid parameter-type for a function. Attribute-types as well as element-types? Type-names vs. element-names? Should all the MSL symbol spaces be represented? Wildcards? Sequences?

It is probably important to have NODE as a type, to allow functions to take any XML node as a parameter, or to return any XML node as a result.

Using univerval names similar to those found in the MSL document, but with a different syntax, would allow us to reference any schema type in XQuery function definitions.

#### Issue 6 : Function Resolution (xquery-function-resolution)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

##### **Description:**

More detailed rules need to be developed for function resolution. What kinds of function overloading are allowed? A promotion hierarchy of basic types needs to be specified. The issue of polymorphic functions with dynamic dispatch needs to be studied. Can overloaded functions be defined such that the parameter-type of one function is a subtype of the parameter-type of another function? If so, what are the constraints on the return-types of these functions? Is function selection based on the static type of the argument or on the dynamic type of the argument (dynamic dispatch, performed at execution time)? If XQuery supports dynamic dispatch, is it based on all the arguments of a function or on only one distinguished argument?

Observation: This is a very complex area of language design. If it proves too difficult to solve in the available time, it may be wise to take a simple approach such as avoiding dynamic dispatch in Version 1 of XML Query.

*Proposal:*

The XML Query Formal Semantics does not support overloading or dynamic dispatch. We will attempt to simplify XML Query Level 1 by omitting these, unless it becomes clear that they are needed. We realize that this might happen.

### Issue 7 : CAST expression (xquery-cast-expression)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

#### **Description:**

Does XQuery need a CAST expression for casting an instance of one type into another type?

*Proposal:*

A "CAST AS" operator has been added to this current Working Draft. We solicit feedback on this operator.

### Issue 8 : Type Guard (xquery-type-guard)

*Originator:* Michael Rys

*Locus:* xquery-algebra

#### **Description:**

Does XQuery require a syntax for type guards?

*Proposal:*

We have added the "TREAT AS" operator to this current Working Draft. This is especially helpful for function arguments, since we do not have function polymorphism in the current specification. We solicit feedback on this operator.

### Issue 9 : Separation of clauses in FLWR (xquery-separation-of-flowers)

*Originator:* Algebra Editors

*Locus:* xquery-algebra

#### **Description:**

A FOR clause can stand alone: FOR \$var IN expr1 RETURN expr2. A LET clause can stand alone: LET \$var := expr1 EVAL expr2. It has been suggested that a WHERE clause should also be able to stand alone: WHERE expr1 RETURN expr2.

Note: in the current BNF, at least one FOR or LET clause must occur, but it is not necessary to have a FOR.

Observation: This functionality is already provided by the conditional expression, IF expr1 THEN expr2 ELSE [ ]. There does not seem to be a compelling reason to provide a second way to write this expression. However, making the ELSE clause optional might be a slight improvement.

*Proposal:*

The following content model should be adopted for FLWR expressions:

```
FlwrExpr ::= (ForClause | LetClause)+ [WhereClause] ReturnClause
```

This allows us also to remove the separate LET/EVAL expression, which can now be expressed using LET/RETURN.

## Issue 10 : Alignment of Syntax (xquery-alignment-of-syntax)

*Originator:* Algebra Editors

*Locus:* xquery-algebra

### Description:

Should the syntaxes of XQuery and the XML Query Formal Semantics be more closely aligned?

At the time this issue was originally proposed, XQuery and the Formal Semantics used distinctly different languages. We are now in the process of aligning these languages. The current Working Draft shows significant progress in this direction. However, more work is to be done with respect to type declarations and the use of type in the language.

## Issue 11 : Alternative syntax for element construction (xquery-element-construction)

*Originator:* Algebra Editors

*Locus:* xquery-algebra

### Description:

(From Algebra team): We think it would be helpful to have two syntaxes for construction, xquery-algebra a[. . .] as used in the Algebra and <a> . . . </a> as used in XQuery. Not least, the a[. . .] syntax matches the syntax used in the Algebra for types.

*Proposal:*

We do not believe it is helpful to have two syntaxes for the same thing, and we do not feel that this suggestion would make XQuery easier to read or use. In any case, square brackets are used in XQuery to enclose predicates and are not available for the suggested purpose.

## Issue 12 : Fusion (xquery-fusion)

*Originator:* Michael Rys

*Locus:* xquery-algebra

**Description:**

Consider adding a fusion operator to XQuery. Michael has supplied us with a few queries in which fusion would be helpful, and fusion seems promising as a feature, but we have not yet done adequate study of this issue. We would like to explore a wider set of use cases to make sure that we take a general approach to the problems related to fusion.

This requires further study. Use cases will need to be developed to determine precisely what forms of a fusion operator are actually helpful for data integration. An extensive and informative thread on this topic can be found in the W3C archives, starting at [lists.w3.org/Archives/Member/w3c-archive/2000Dec/0132.html](http://lists.w3.org/Archives/Member/w3c-archive/2000Dec/0132.html).

*Proposal:*

Fusion is still not well motivated by use cases, despite fairly extensive discussion. External functions may be important, but we do not think it is worth doing right now. There is also an argument for omitting them because they limit the optimizations that can be done in the rest of the language.

### Issue 13 : Filter as a Function (xquery-filter-function)

*Originator:* Dana

*Locus:* xquery-algebra

**Description:**

Dana has observed that Filter can be a function rather than an operator, if nodes have identity.

*Resolution:*

Filter is now a function, where the first parameter is the expression to be filtered, and the second parameter is the filter expression. We find this more elegant.

### Issue 14 : TRY/CATCH and error() (xquery-try-catch-error)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

**Description:**

We believe the following approach to error handling would be very useful - (1) introduce TRY <expression> CATCH <expression>, similar to try/catch in OO languages. Instead of having "throw" to throw objects, use error(<expression>), bind the result of the expression to the variable \$err, and allow \$err to be used in the CATCH clause.

*Proposal:*

Dana Florescu has been assigned the task of writing a proposal for this.

## Issue 15 : Updates (xquery-updates)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

### **Description:**

We believe that a syntax for update would be extremely useful, allowing inserts, updates, and deletion. This might best be added as a non-normative appendix to the syntax proposal, since the algebra is not designed for defining this portion of the language.

*Proposal:*

Jonathan is working on a proposal for this.

## Issue 16 : Algebra Mapping (xquery-algebra-mapping)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

### **Description:**

The algebra mapping is incomplete and out of date.

*Proposal:*

Jerome has created a new version of the mapping, with help from Mary, Dana and Mugur.

## Issue 17 : XPath Productions (xquery-xpath-productions)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

### **Description:**

XPath can't be treated as a terminal symbol in our grammar. We intend XQuery to be a superset of the abbreviated syntax of XPath. We do not use the grammar of XPath directly because it needs to be integrated into our other productions. For example, operators like the union operator, which occur in path expressions, also occur in other contexts in XQuery, and it makes little sense to define two different operators. This raises issues of coordination with XPath.

## Issue 18 : Abstract Syntax (xquery-abstract-syntax)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

### **Description:**

Jerome and Mary have suggested that we abandon the separate abstract syntax for XQuery, in favor of

a higher-level BNF.

*Proposal:*

This is the BNF that now appears in Appendix B.

### Issue 19 : Recursion (xquery-recursion)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

#### **Description:**

Should XQuery support general recursion, or should it be limited in some way? Status quo: XQuery currently supports general recursion.

Reference: XML Query Algebra Issues

[Issue-0008] Fixed point operator or recursive functions]

[Issue-0032] Full regular path expressions]

### Issue 20 : Copy and Reference Semantics (xquery-copy-reference)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

#### **Description:**

Copy and reference semantics must be defined properly for updates to work. This must be coordinated with the algebra team.

### Issue 21 : View Definition (xquery-persist-views-functions)

*Originator:* Mugur

*Locus:* xquery-algebra

#### **Description:**

Do we need a way to define views?

*Resolution:*

While a mechanism for view definition is desirable, we do not currently intend to provide one in Level 1.

### Issue 22 : Human-Readable Syntax for Types (xquery-type-syntax)

*Originator:* Algebra Editors

*Locus:* xquery-algebra

**Description:**

The Algebra has a syntax for declaring types. Up to now, XQuery uses XML Schema for declaring types. Is this sufficient? Some important questions:

1. Are type names sufficient, or does XQuery really need its own syntax for declaring types?
2. Would Normalized Universal Names (derived from MSL) be sufficient for type names?
3. How will type names be bound to definitions?

**Issue 23 : What is a Query (xquery-query)**

*Originator:* Dana

*Locus:* xquery-algebra

**Description:**

What is a query?

According to the algebra: any number of type declarations, function definitions, variable definitions and expressions.

According to XQuery: any number of namespace declarations, function definitions and a unique expression.

These definitions should be coordinated.

**Issue 24 : XPath Type Coercions (xquery-xpath-coercions)**

*Originator:* XQuery Editors

*Locus:* xquery-algebra

**Description:**

XPath has a number of implicit type coercions, and also has implied existential quantification in some places. In XML 1.0, which had a small and loose type system, this was less problematic than it is with XML Schema, which introduces many types and relationships among types. If XQuery is to be compatible with XPath, we need to study these rules carefully, and adapt them to be rational and intuitive when used with the XML Schema type system.

Also, there are interactions between quantification and type coercion in XPath, sometimes causing non-intuitive results.

**Issue 25 : Support for Unordered Collections (xquery-unordered-collections)**

*Originator:* Algebra Editors

*Locus:* xquery

**Description:**



Does XQuery need features to add support for unordered collections? If so, what features are required? In the current draft, "unordered" is a property of a list. The user can create an ordered list from an unordered list by using SORTBY. The distinct() function not only removes duplicates from a list, it also renders the list unordered.

Do we need a function that merely removes the ordered property of a list?

How does the ordered/unordered property of a list affect the semantics of operators applied to it?

### Issue 26 : Identity-based equality operator (xquery-equality-identity)

*Originator:* Algebra Editors

*Locus:* xquery-algebra

#### **Description:**

Do we need an identity-based equality operator? Please justify your answer with sample queries. Note that XPath gets along without it.

### Issue 27 : Deep equality (xquery-deep-equality)

*Originator:* Jonathan

*Locus:* xquery-algebra

#### **Description:**

In XPath, <book><title> Mark Twain </title></book> and <book><author> Mark Twain </author></book> are treated as equal in comparisons. Is this acceptable for us? Do we need another notion of deep equality? If so, what are the compatibility issues with XPath?

### Issue 28 : Reference Constructor (xquery-reference-constructor)

*Originator:* Jonathan

*Locus:* xquery

#### **Description:**

Queries should be able to return references; for instance, a query that generates a table of contents should also be able to create references to the items in the content itself. How can we extend the syntax to support this?

### Issue 29 : Precedence of Operators (xquery-precedence)

*Originator:* Dana

*Locus:* xquery

#### **Description:**

The XQuery editorial team is working through a number of cases to determine the best precedence of

operators. Our current precedence rules are shown in Appendix B.

### Issue 30 : Queries with Invalid Content (xquery-invalid-content)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

#### **Description:**

Is it an error for a query to specify content that may not appear, according to the schema definition? Consider the following query:

```
invoice//nose
```

If the schema does not allow a nose to appear on an invoice, is this query an error, or will it simply return an empty list?

### Issue 31 : Function Libraries (xquery-function-library)

*Originator:* XQuery Editors

*Locus:* xquery

#### **Description:**

XQuery needs a mechanism to allow function definitions to be shared by multiple queries. The XQuery grammar allows function definitions to occur without a query expression.

We must provide a way for queries to access functions in libraries. For instance, we might add an `IMPORT` statement to XQuery, with the URI of the functions to be imported. It must be possible to specify either that (1) local definitions replace the imported definitions, or (2) imported definitions replace the local ones.

### Issue 32 : Correspondence of Types (xquery-type-correspondence)

*Originator:* Jerome Simeon

*Locus:* xquery-algebra

#### **Description:**

Section 2.9, on functions, portrays XQuery as a statically typed language, but the mechanisms by which static typing is established are still being developed by the XML Query Algebra editorial team. A complete accounting for type requires that the XML Query Algebra conform completely to the XML Schema type system, and that many open issues be resolved.

The semantics of XQuery are defined in terms of the operators of the XML Query Algebra (see [\[XQuery 1.0 Formal Semantics\]](#)). The mapping of XQuery operators into Algebra operators is still being designed, and may result in some changes to XQuery and/or the Algebra. The type system of XQuery is the type system of XML Schema. Work is in progress to ensure that the type systems of XQuery, the XML Query Algebra, and XML Schema are completely aligned. The details of the operators supported on simple XML Schema datatypes will be defined by a joint XSLT/Schema/Query task force.

### Issue 33 : Excluding Undesired Elements (xquery-exclude-undesireables)

*Originator:* Don Chamberlin

*Locus:* xquery

#### **Description:**

How do we exclude undesired elements from the results of joins?

This need came out of a thread exploring data integration scenarios, starting with <http://lists.w3.org/Archives/Member/w3c-archive/2000Dec/0132.html>.

### Issue 34 : Alignment of Precedence (xquery-align-precedence)

*Originator:* Jerome Simeon

*Locus:* xquery-algebra

#### **Description:**

The precedence rules of XQuery and the algebra are not completely aligned. This needs to be fixed by the time both specifications are finished.

### Issue 35 : Escape to ABQL (xquery-escape-to-abql)

*Originator:* Jerome Simeon

*Locus:* xquery

#### **Description:**

Is there a need to be able to escape to ABQL?

### Issue 36 : CAST and TREAT AS Syntax (xquery-cast-syntax)

*Originator:* Jonathan Robie

*Locus:* xquery

#### **Description:**

Various approaches to the syntax of CAST AS and TREAT AS have been proposed. Java and SQL have both been sources used to discuss these approaches. We are still exploring other syntactic approaches.

### Issue 37 : XML Schema Datatypes Constructors (xquery-datatype-constructors)

*Originator:* Paul Cotton

*Locus:* xquery

#### **Description:**

The set of constructor functions for XML Schema simple datatypes has not yet been established. A joint task force with XML Schema has been chartered to determine the operators on datatypes, and we expect them to also determine the set of constructors for datatypes.

### Issue 38 : Attribute Constructor Function (xquery-attribute-constructor-function)

*Originator:* Don Chamberlin

*Locus:* xquery

#### **Description:**

We need a function for constructing attributes.

*Proposal:*

The attribute function takes two parameters. The first is the name of the attribute to be constructed. The second is the value of the attribute to be constructed. If an attribute function occurs within an element constructor, the attribute is added to the set of attributes for that element.

```
<foo>
  IF $f/temp > 200
    THEN make_attribute("warning", "about to explode!!")
    ELSE []
</foo></foo>
```

Note Dana and Phil's exchange re: typing when attribute names are not statically known.

### Issue 39 : Attribute Name, Attribute Content (xquery-attribute-name-content)

*Originator:* Don Chamberlin

*Locus:* xquery

#### **Description:**

We need functions to return the name of an attribute and the content of an attribute.

*Proposal:*

```
attribute_name($b)
attribute_content($b)
```

### Issue 40 : Dereference Operator and Links (xquery-dereference-links)

*Originator:* Jonathan Robie

*Locus:* xquery

#### **Description:**

Does the dereference operator work on links, such as XLink or HTML href? Should we also support KEY/KEYREF? In general, our handling of references needs a lot of work.

In general, how are the semantics of the dereference operator defined?

### Issue 41 : XML Constructor ([xquery-literal-xml-constructor](#))

*Originator:* Jonathan Robie

*Locus:* xquery-algebra

#### **Description:**

Is there a need for a constructor that creates an instance of the XML Query Data Model from a string that contains XML text?

*Proposal:*

Close the issue, pending convincing use cases. When do we need to be able to create a string of XML text, then convert it into an instance of the XML data model? Can't there always be an intervening parser or other tool to create the XML data model instance?

### Issue 42 : Eval ([xquery-eval](#))

*Originator:* Jonathan Robie

*Locus:* xquery-algebra

#### **Description:**

Is there a need to be able to execute a string that contains the text of an XQuery query? (This is similar to the eval function in Lisp.)

### Issue 43 : Inline XML Schema Declarations ([xquery-inline-xml-schema](#))

*Originator:* Don Chamberlin

*Locus:* xquery

#### **Description:**

Do we need to allow inline XML schema declarations in the prolog of a query? The following example shows one potential syntax for this. It extends the namespace declaration to allow literal XML Schema text to occur instead of a URI in a namespace declaration. The implementation would then assign an internal URI to the namespace.

```

NAMESPACE fid = "http://www.example.com/fiddlefaddle.xsd"

NAMESPACE loc = [[
  <xsd:schema xmlns:xsd = "http://www.w3.org/2000/10/XMLSchema">
    <xsd:simpleType name="myInteger">
      <xsd:restriction base="xsd:integer">
        <xsd:minInclusive value="10000"/>
        <xsd:maxInclusive value="99999"/>
      </xsd:restriction>
    </xsd:simpleType>
  ]]
```

```

    </xsd:schema>
  ]]

  FUNCTION string-to-myInteger ($s STRING) RETURNS loc:myInteger
  {
    -- If the facets of loc:myInteger are not satisfied,
    -- this function raises an error.

    LET $t := round(number($s))
    RETURN TREAT AS loc:myInteger($t)
  }

  string-to-myInteger("1023")

```

### Issue 44 : Encoding (xquery-encoding)

*Originator:* Jonathan Robie

*Locus:* xquery

#### **Description:**

Does XQuery need a way to specify the encoding of a query? For instance, should the prolog allow statements like the following?

```
ENCODING utf-16
```

### Issue 45 : Typing of Filter (xquery-filter-typing)

*Originator:* Jerome Simeon

*Locus:* xquery-algebra

#### **Description:**

The current mapping of filter to the algebra does not preserve much useful type information. Can the mapping be improved? Is there another approach to filter that would yield better type information?

### Issue 46 : Typeswitch (xquery-typeswitch)

*Originator:* Mary Fernandez

*Locus:* xquery

#### **Description:**

Do we need an expression similar to the MATCH expression in the algebra? One proposed syntax looks like this:

```

  TYPESWITCH expr
    CASE typename1: expr1
    CASE typename2: expr2

```

Since this syntax corresponds more closely to the syntax of the algebra, it can be mapped more

easily. Alternatively, the match expression in the algebra could be made to resemble the INSTANCEOF expression of XQuery.

### Issue 47 : Mapping Input Context (xquery-mapping-input-context)

*Originator:* Jerome Simeon

*Locus:* xquery-algebra

#### **Description:**

Do we need a way to specify the nodes in the input context? Many queries do not specifically state the input to which they will be applied. This allows the same query, for instance, to be applied to a number of databases. It may be helpful for the mapping to introduce a global variable, eg \$input, to represent the input nodes for a query. This variable might even be useful in the syntax of XQuery itself.

### Issue 48 : Accessing Element Data (xquery-data-function)

*Originator:* Mary Fernandez

*Locus:* xquery

#### **Description:**

There is no operator to access the typed constant content of an element. In the Algebra, the data() operator does this. Should XQuery do the same?

### Issue 49 : Embedding XML in XQuery (xquery-embedding-xml)

*Originator:* XQuery Editors

*Locus:* xquery

#### **Description:**

Do we need a way to embed literal XML content in a query? For instance, should there be a way to construct an XML element using the native syntax of XML?

### Issue 50 : Embedding XQuery in XML (xquery-embedding-xquery-in-xml)

*Originator:* Steve Tolkin

*Locus:* xquery-algebra

#### **Description:**

Do we need a way to escape from XML to XQuery syntax? This could be used to provide functionality similar to that of ASP or JSP.

### Issue 51 : Naive Implementation Strategy (xquery-naive-implementation)

*Originator:* Marton Nagy

*Locus:* xquery

**Description:**

Marton Nagy has suggested that it would be helpful to describe a naive implementation strategy for XQuery.

A naive XQuery implementation might parse the query, map it to Algebra syntax, and pass it to an Algebra implementation to request type checking from the algebra, returning an error if there were static type errors. A naive implementation might then request query execution from the algebra, get the results from the algebra and return it to the user.

Alternatively, the implementation might have its own algebra for execution, or it might generate statements in a specific implementation language such as XPath or SQL. We expect a wide variety of implementation approaches to be used in practice.

**Issue 52 : XML-based Syntax (xquery-abql)**

*Originator:* XML Query WG

*Locus:* xquery

**Description:**

XQuery needs an XML representation that reflects the structure of an XQuery query. Drafts of such a representation have been prepared, but it is not yet ready for publication.

**Issue 53 : Mapping CAST AS (xquery-mapping-cast)**

*Originator:* Jerome Simeon

*Locus:* xquery-algebra

**Description:**

The algebra does not have coercion functions between values. The mapping requires this for CAST AS.

**Issue 54 : Defining Behavior for Well Formed, DTD, and Schema Documents (xquery-define-schema-variants)**

*Originator:* Don Chamberlin

*Locus:* xquery-algebra

**Description:**

We should specify the behavior of XQuery for well formed XML, XML validated by a schema, and XML validated by a DTD.

**Issue 55 : Comments to end-of-line (xquery-comment-to-end-of-line)**

*Originator:* Michael Rys

*Locus:* xquery



**Description:**

Since an XQuery may physically reside in an XML document, comments that rely on the concept of end-of-line can result in anomalies due to XML whitespace normalization. We should probably use bounded comments.

One possible syntax for comments:

```
{-- This is reminiscent of XML, but without angle brackets. --}
```

**Issue 56 : XPath Axes (xquery-xpath-axes)**

*Originator:* XQuery Editors

*Locus:* xquery-algebra

**Description:**

XPath supports 13 axes. The current Working Draft says that XQuery will support a subset of these axes, including at least those axes required by the abbreviated syntax. The definitive set of axes to be supported by XQuery has not yet been determined. In the current Working Draft, the examples use abbreviated syntax, but the grammar supports unabbreviated syntax.

For the axes required by the abbreviated syntax, should XQuery allow both the unabbreviated and abbreviated syntax? If we decide not to support additional axes, no new functionality would be added by supporting the unabbreviated syntax. Opinions vary as to whether the unabbreviated syntax is clearer.

**Issue 57 : Quotes for computed attribute values (xquery-quote-computed-attribute-value)**

*Originator:* James Clark

*Locus:* xquery

**Description:**

XQuery currently allows computed attribute values without quotes, which is not well-formed XML:

```
<foo bar={//baz} />
```

In XSLT, the equivalent syntax uses quotes:

```
<foo bar="{//baz}" />
```

Should XQuery adopt the XSLT convention? This would make it easier for XQuery to reside in well formed XML documents.

**Issue 58 : Computed element and attribute names (xquery-computed-element-names)**

*Originator:* XQuery Editors

*Locus:* xquery

**Description:**

The current syntax for computed elements and attributes uses a syntax that looks like XML but is not well-formed XML.

```
<{name($e)}> # replicates the name of $e
  {$e/@*}      # replicates the attributes of $e
  {2 * number($e)} # doubles the content of $e
</>
```

This makes it hard to embed queries that use this syntax in well-formed XML documents. Also, the Formal Description uses a syntax for element and attribute construction that is more suitable for use with inference notation. Should an alternative construction syntax be used that eliminates both problems?

### [Issue 59 : Productions for Comments and Processing Instructions \(xquery-comment-pi-productions\)](#)

*Originator:* Don Chamberlin

*Locus:* xquery

**Description:**

The current EBNF lacks productions for comments and processing instructions.

### [Issue 60 : Arithmetic operators among sequences \(xquery-arithmetic-among-sequences\)](#)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

**Description:**

If two sequences are combined with an arithmetic operator, what are the semantics? Should a Cartesian product be used? Should the operator be applied to pairs? Should an error be raised?

### [Issue 61 : Collation Sequences \(xquery-collation-sequences\)](#)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

**Description:**

How are collation sequences created to define inequality and sort orders?

### [Issue 62 : Nulls, nil, and three-valued logic \(xquery-three-value-logic\)](#)

*Originator:* XQuery Editors

*Locus:* requirements

**Description:**

Does XQuery need three-valued logic? How should XQuery deal with missing or absent values? What are the semantics of XML Schema's xsi:nil?

**Issue 63 : Set operations based on value (xquery-set-operators-on-values)**

*Originator:* XQuery Editors

*Locus:* requirements

**Description:**

The definitions of UNION, INTERSECT, and EXCEPT for simple values are still under discussion. It is not clear that these operators should apply to simple values, because simple values do not have a concept of node identity. If these operators are defined for simple values, perhaps they should have a lower precedence than arithmetic operators.

**Issue 64 : Converting general expressions to Boolean (xquery-anything-to-boolean)**

*Originator:* XQuery Editors

*Locus:* xquery

**Description:**

Conditionals in XQuery allow any expression in the grammar. Which kinds of expressions are actually converted to Boolean values, and how is this done? Which kinds of expressions raise errors?

**Issue 65 : Quantifiers with multiple bindings? (xquery-quantifier-multiple-variables)**

*Originator:* Don Chamberlin

*Locus:* xquery

**Description:**

We have considered forms of quantified expressions that bind several variables at once, as in SOME \$x IN expr1, \$y IN expr2. Are such quantifiers desirable? If so, what are their semantics, and what use cases support them? Note that there is no additional expressive power over the current single-variable syntax, this is purely a question of convenience.

**Issue 66 : Implicit current node for functions? (xquery-implicit-current-node)**

*Originator:* XQuery Editors

*Locus:* xquery

**Description:**

Many XPath functions and node-tests implicitly operate on the current node if no argument is specified. Should this apply to all functions? All unary functions? Only certain functions?

### Issue 67 : Subtype Substitutability (xquery-subtype-substitutability)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

#### **Description:**

Should XQuery 1.0 support subtype substitutability for function parameters?

If subtype substitutability is not supported in XQuery Version 1, the motivation for TYPESWITCH is weakened and the decision to support TYPESWITCH should be revisited.

### Issue 68 : Substitution Groups (xquery-substitution-groups)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

#### **Description:**

How should XQuery handle XML Schema substitution groups with respect to name tests in paths? With respect to parameter types and return types in functions?

### Issue 69 : Sequences for single parameters (xquery-sequence-for-single)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

#### **Description:**

If a sequence is passed as a function parameter where a singleton was declared as the parameter type, should the function call operate on tuples of the Cartesian cross product, as in the current draft, raise an error, or do something else?

### Issue 70 : Is TREAT AS necessary? (xquery-remove-treat)

*Originator:* XQuery Editors

*Locus:* xquery-algebra

#### **Description:**

The functionality of TREAT can also be expressed using TYPESWITCH. A proposal to remove the TREAT expression is under consideration.

### Issue 71 : Module syntax (xquery-module-syntax)

*Originator:* XQuery Editors

*Locus:* xquery

**Description:**

The definition and syntax of a query module are still under discussion in the working group. The specifications in this section are pending approval by the working group.

Future versions of the language may support other forms of query modules, such as update statements and view definitions.

### [Issue 72 : Importing Modules \(xquery-import\)](#)

*Originator:* XQuery Editors

*Locus:* xquery

**Description:**

The means by which a query module gains access to the functions defined in an external function library remains to be defined.

### [Issue 73 : General discussion of errors \(xquery-general-errors\)](#)

*Originator:* XML Query WG

*Locus:* xquery

**Description:**

This document does not have a general discussion of errors, when they are raised, and how they are processed. This is needed.

### [Issue 74 : Cutting and pasting XML into XQuery \(xquery-cut-and-paste-xml\)](#)

*Originator:* XQuery Editors

*Locus:* xquery

**Description:**

A variety of XML constructs can not be cut and paste into XQuery, including the internal subset, entities, notations, etc. Should we attempt to ameliorate this?

### [Issue 75 : Normalized Equality \(xquery-normalized-equality\)](#)

*Originator:* Mary Fernandez

*Locus:* xquery-algebra

**Description:**

When elements are compared, are comments and PIs considered in the comparison? How is whitespace handled? Do we need to allow more than one way to handle these in comparisons?

### [Issue 76 : CASE not a subtype \(xquery-typeswitch-case-not-subtype\)](#)

*Originator:* XML Query  
*Locus:* xquery-algebra

**Description:**

If the types in the CASE branches are not subtypes of the TYPESWITCH, is this an error, or are these branches simply never executed? If the latter, should we require a warning?

[Issue 77 : Namespace Prefix Redefine \(xquery-namespace-prefix-redefine\)](#)

*Originator:* XML Query  
*Locus:* xquery-algebra

**Description:**

Can a namespace prefix be redefined, as in XML?

[Issue 78 : Namespace Attributes in Element Constructors \(xquery-namespace-attribute-declaration\)](#)

*Originator:* XML Query  
*Locus:* xquery-algebra

**Description:**

If an element constructor contains a namespace declaration in XML syntax, is the namespace declared in the query?

```
<foo:bar xmlns:foo="//www.foo.com/foo.xsd">
  <foo:baz>
    Is this baz in the 'www.foo.com' namespace?
  </foo:baz>
</foo>
```

[Issue 79 : Static versus Dynamic Errors \(xquery-errors-static-dynamic\)](#)

*Originator:* Algebra  
*Locus:* xquery-algebra

**Description:**

As part of the general description of errors, which is largely still to be done, we must carefully distinguish static from dynamic errors. In general, we should probably attempt to catch errors as early as possible. The following examples have been suggested by the Algebra team:

"If the content of its argument node cannot be expressed as a value of a simple type, the data function raises an error." This could be a static error, since the data function makes most sense for schema valid documents or nodes that have type assigned via xsi:type.

"For each member of the sequence, the ordering expression must return a single value of some type for which the ">" operator is defined (for example, a number or a string); otherwise an error results." This could also be a static error.

"When one or more operands is a node, the content of the node is extracted by an implicit call to the data function and converted to a number before the operation is performed; if this conversion is not possible, an error results." This could be a static or a dynamic error.

"TO is a binary operator that converts both of its operands to integers. It then generates a sequence containing all the integers from the left-hand operand to the right-hand operand, inclusive. If either of the operands cannot be converted to an integer, an error results." In the presence of type info, this could be a static error. In a well-formed document, in which arbitrary strings may be converted to integers, it could be dynamic.

### Issue 80 : Empty End Tags in Element Constructors (xquery-empty-end-tag)

*Originator:* Michael Rys

*Locus:* xquery-algebra

#### **Description:**

Our current syntax uses an empty end tag ("`</>`") as the end tag for element constructors that use computed element names. Should we allow this for element constructors with literal element names?

### Issue 81 : Sorting by Non-exposed Data (xquery-phantom-sortby)

*Originator:* Michael Rys

*Locus:* xquery-algebra

#### **Description:**

Should we make it easier to sort by data that is not exposed in the result? Although the current language allows this, it is difficult to define complex sort orders in which some items are not exposed in the result and others are computed in the expression that is sorted. Is there a more convenient syntax for this that would be useful in XQuery?

### Issue 82 : Semicolon as Query Module Separator (xquery-semicolon)

*Originator:* XML Query

*Locus:* xquery

#### **Description:**

The syntax currently uses a semicolon as a query module separator. What are the semantics of the query module separator? Is the semicolon the best character for this?

### Issue 83 : Escaping Quotes and Apostrophes (xquery-escaping-quotes-and-apostrophes)

*Originator:* XML Query

*Locus:* xquery

**Description:**

In attribute constructors and string constructors, XQuery uses quotes or apostrophes as delimiters. How are these characters escaped when they occur within strings that are created by one of these constructors?

### [Issue 84 : Unqualified Function Names \(xquery-unqualified-function-names\)](#)

*Originator:* XML Query

*Locus:* xquery

**Description:**

If a function name is not qualified by a namespace prefix, what namespace is the function in? Some options that have been suggested: the function might be considered to be in no namespace, in a default namespace, or in the namespace of XQuery's built-in functions.

### [Issue 85 : Leading Minus \(xquery-leading-minus\)](#)

*Originator:* XML Query

*Locus:* xquery

**Description:**

Should leading minus/plus be treated as unary operators or as part of a numeric literal? Or should both be supported?

### [Issue 86 : Case-Sensitivity in Keywords \(xquery-case-sensitive-keywords\)](#)

*Originator:* XML Query

*Locus:* xquery

**Description:**

Should keywords in XQuery be case-sensitive?

### [Issue 87 : GROUPBY \(xquery-groupby\)](#)

*Originator:* XML Query

*Locus:* xquery-algebra

**Description:**

Does XQuery need an explicit GROUPBY expression? This would not add expressive power, but would be convenient, and may be easier to optimize.



## Issue 88 : General Expressions in Path Steps (xquery-step-expressions)

*Originator:*

*Locus:* xquery-algebra

### **Description:**

The XPath task force has recommended that we allow any expression in a path step. Proponents note that this adds to the symmetry of the language. Opponents note that this allows queries to be written that are very difficult to read, and may raise some issues for optimization. This needs further study.

## Issue 89 : Comparing Collections (xquery-comparing-collections)

*Originator:* XML Query

*Locus:* xquery-algebra

### **Description:**

How are collections compared? Note that we need to be able to compare unordered collections as well as ordered collections.

## Issue 90 : Meaning of BEFORE and AFTER (xquery-before-after)

*Originator:* Jonathan

*Locus:* xquery-algebra

### **Description:**

Which of the following meanings is preferred for X BEFORE Y? (In each case, X AFTER Y would have the symmetric meaning.)

- (1) X and Y are node sequences. Return the nodes in X that occur before some node in Y. This is the current definition.
- (2) X and Y are node sequences. Return the nodes in X that occur before all nodes in Y. This is similar to the current definition and may be a better match for our use cases.
- (3) X is a node sequence and Y is an individual node. Return the nodes in X that occur before Y. If YS is a node sequence, the meanings in (1) and (2) can be expressed as "X BEFORE YS[last()]" and "X BEFORE YS[1]".
- (4) X and Y are both individual nodes. Return True if X occurs before Y in document order, otherwise False. If XS and YS are node sequences, the meaning in (1) can be expressed as "FOR \$x IN XS [SOME \$y IN YS SATISFIES \$x BEFORE \$y] RETURN \$x". The meaning in (2) can be expressed similarly, substituting "EVERY" for "SOME".

## Issue 91 : Lists of Element Constructors (xquery-list-element-constructor)

*Originator:* Michael Rys

*Locus:* xquery

**Description:**

If a list of expressions contains adjacent element constructors, should a comma appear between these element constructors?

[Issue 92 : Static Type Assertions \(xquery-static-type-assertion\)](#)

*Originator:* Mary

*Locus:* xquery

**Description:**

In the XQuery Formalism document, there is a static type-assertion expression:

```
Expr : Type
```

This is often useful in an expression like:

```
LET bib0 : Bib := <bib>...some literal data...</bib>
```

which asserts statically that the type of bib0 is Bib, i.e., that the literal data is a value contained in the Bib type. If this is not true, a static, compile-time error is raised.

This semantics is not the same as :

```
LET bib0 := TREAT AS Bib (<bib>...</bib>)
```

which will raise a run-time error if the literal data is not a value in Bib.

[Issue 93 : Names in Type Definitions \(xquery-names-type-definition\)](#)

*Originator:* Don

*Locus:* xquery-algebra

**Description:**

Does the definition of a type include both element-names and element-contents (as in the Formal Semantics document), or only element-contents (as in XML Schema)?

[Issue 94 : Path Expression Order \(xquery-path-expression-order\)](#)

*Originator:* Michael Rys

*Locus:* xquery

**Description:**

Does a path expression preserve the order of its input or document order? When do these differ? We need to make our discussion of this more precise.

### Issue 95 : Full Expression Syntax in Steps ([xquery-full-expression-syntax](#))

*Originator:* XML Query

*Locus:* xquery-algebra

#### **Description:**

The use of full expression syntax in a step of a path expression has been recommended by the joint Query/XSLT Task Force but has not yet been approved by the respective Working Groups. In the examples and use cases we have, this is currently used mainly to allow union at any level in a path expression. The use of full expression syntax in this document is subject to Working Group approval.

### Issue 96 : Promotion Hierarchy for XML Schema Types ([xquery-schema-type-promotion](#))

*Originator:* Jonathan

*Locus:* xquery

#### **Description:**

A fixed "promotion hierarchy" must be defined among the primitive and derived types of XML Schema. A function whose declared parameter type is in this hierarchy can be invoked with an argument whose type is lower in the hierarchy. For example, a function with a declared parameter-type of Float can be invoked with an integer argument. In such a case, the argument is converted to the declared type of the parameter.

The promotion hierarchy will be defined by the Operators and Functions Task Force.

### Issue 97 : Importing Schemas and DTDs into query ([xquery-schema-import](#))

*Originator:* Don Chamberlin

*Locus:* requirements

#### **Description:**

We do not specify how a Schema or DTD is 'imported' into a query so that its information is available during type checking. Schema and DTDs can either be named explicitly (e.g., by an 'IMPORT SCHEMA' clause in a query) or implicitly, by accessing documents that refer to a Schema or DTD. The mechanism for statically accessing a Schema or DTD is unspecified.

This item is a duplicate of the Formal Semantics issue [0095](#).

### Issue 98 : Support for schema-less and incompletely validated documents ([xquery-schemaless](#))

*Originator:* Don Chamberlin/Mary Fernandez

*Locus:* xquery-algebra

**Description:**

This is related to [xquery-schema-import](#). We do not specify what is the effect of type checking a query that is applied to a document without a DTD or Schema. In general, a schema-less document has type `xs:AnyType` and type checking can proceed under that assumption. A related issue is what is the effect of type checking a query that is applied to an incompletely validated document. As above, we can make \*no\* assumptions about the static type of an incompletely validated document and must assume its static type is `xs:AnyType`.

This item is a duplicate of the Formal Semantics issue [0096](#).

### Issue 99 : Static type-checking vs. Schema validation (xquery-static-versus-validate)

*Originator:* Mary Fernandez

*Locus:* xquery-algebra

**Description:**

Static type checking and schema validation are not equivalent, but we might want to do both in a query. For example, we might want to assert statically that an expression has a particular type and also validate dynamically the value of an expression w.r.t a particular schema.

The differences between static type checking and schema validation must be enumerated clearly (the XSFDF people should help us with this).

This item is a duplicate of the Formal Semantics issue [0097](#).

### Issue 100 : Implementation of and conformance levels for static type checking (xquery-type-conformance)

*Originator:* Don

*Locus:* xquery-algebra

**Description:**

Static type checking may be difficult and/or expensive to implement. Some discussion of algorithmic issues of type checking are needed. In addition, we may want to define "conformance levels" for XQuery, in which some processors (or some processing modes) are more permissive about types. This would allow XQuery implementations that do not understand all of Schema, and it would allow customers some control over the cost/benefit tradeoff of type checking.

This item is a duplicate of the Formal Semantics issue [0098](#).

### Issue 101 : Missing conformance section (xquery-conformance)

*Originator:* Jonathan

*Locus:* xquery

**Description:**

The final XQuery recommendation must have a conformance section. The XML Query Working Group has not yet decided what should go into this section.

### Issue 102 : typeof() function (xquery-typeof)

*Originator:* Jonathan

*Locus:* xquery-algebra

**Description:**

Do we need a function that returns the type name of its operand? If so, what should it return if the operand is an element with a given xsi:type - the element name? the name of the type denoted by xsi:type? Specification of this function requires more work on types in XQuery.

## D Revision Log (Non-Normative)

Jonathan, 29 Nov 2000:

- ⚭ Changed the name of the language from "Quilt" to "XQuery", to reflect the fact that the proposal has been accepted as the basis of the W3C XML Query Working Group.

Changed the introduction to reflect this new status.

Added Jerome and Mugur's names to the list of editors.

Don, 3 January 2001:

- ⚭ Changed FILTER to a function.
- ⚭ Allowed a FLWR to contain LET without FOR.
- ⚭ Added INSTANCEOF, CAST, and TREAT operators.
- ⚭ Expanded descriptions of types and functions.

Jonathan, 2 February 2000:

- ⚭ BNFs from Don and Dana, new mapping from Jerome, revised issues list.

Jonathan, 1 May 2001:

- ⚭ Replaced the terms "list" and "forest" with "sequence" throughout, as in the Formal Description.

Don, 4 May 2001:

- ⌘ Changed element constructors to use XML syntax with nested expressions delimited by curly braces. Name may be omitted from an end-tag if the name in the start-tag is computed by an expression.
- ⌘ Replaced grammar with James Clark grammar that avoids reserved words; placed fragments of this grammar in each section of the document as applicable.
- ⌘ Added full axis syntax of XPath (with note indicating that we will support only a subset of the 13 axes).
- ⌘ Added TYPESWITCH expression.
- ⌘ New syntax for RANGE expression: m TO n.
- ⌘ Required parentheses around conditional expression in IF...THEN...ELSE (to avoid reserved words).
- ⌘ Changed NOT, TRUE, and FALSE into functions (to avoid reserved words).
- ⌘ Increased the precedence of UNION, INTERSECT, and EXCEPT (for compatibility with XPath 1.0).
- ⌘ Changed dereference operator to => (because a name can contain a hyphen).
- ⌘ Revised syntax for default namespace declaration (DEFAULT comes before NAMESPACE).
- ⌘ Added syntax for declaring an external schema.
- ⌘ Allowed any expression in a step of a path expression (subject to approval by WG).
- ⌘ Changed syntax for sequence constructors; eliminated square-bracket delimiters.
- ⌘ Added descriptions of unordered() and data() functions (subject to approval by WG).
- ⌘ Changed comment delimiter from "--" to "#" (because hyphens can occur in names).
- ⌘ Removed keywords LIST and ELEMENT from type syntax.
- ⌘ Added semicolon as separator for query modules (subject to approval by WG).
- ⌘ Made parameter types and return type optional in function definitions.
- ⌘ Added more complete descriptions of various expressions and operators.
- ⌘ Eliminated references to relational databases and SQL from the sections on joins and grouping.
- ⌘ Added James Clark as an editor of the document.

Jonathan, 1 June 2001.

- ⌘ Changed namespaces in examples to use example.com domain.
- ⌘ Parsed all examples from body of text, modified to match current grammar.
- ⌘ Added latest issues list, with new issues appended.
- ⌘ Modified stylesheet to display revision log.
- ⌘ Modified document titles and URLs to reflect the new batch of XML Query and XPath documents.